First Steps Towards Cumulative Inductive Types in CIC

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ICTAC'15 - October 31, 2015

Outline

1 Universe polymorphism and Inductive Types

2 pCIC

3 pCuIC

4 lpCuIC

5 Future Work – Conclusion

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• Example: Predicative Calculus of Inductive Constructions (pCIC), the logic of the proof assistant Coq

- pCIC has recently been extended with universe polymorphism
 - Definitions can be polymorphic in universe levels, e.g., categories:

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Universe polymorphism and Inductive Types
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Record Category@{i j} : Type@{max(i+1, j+1)} :=
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        Obj : Type@{i};
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• To keep consistent, universe polymorphic definitions come with constraints, e.g., category of categories:

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```
Definition Cat@{i j k l} :=
    {|
        Obj := Category@{k l};
        Hom := fun C D ⇒ Functor@{k l k l} C D;
        :
        |}
    : Category@{i j}.
with constraints:
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k < i and l < i

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with constraints: j < i
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 \blacksquare Yoneda embedding can't be simply defined as the exponential transpose of the hom functor

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Examples:

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\texttt{empty} := \texttt{ensQ}\{0\} \texttt{Empty}(\texttt{Empty\_rect} \texttt{EnsQ}\{i\})
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- Problem: e and ens_lift e are not necessarily the same
- Any statement about e is not usable with ens_lift e and needs to be proven separately

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$$\frac{\Gamma \vdash A : \mathtt{Type}_i \quad \Gamma, x : A \vdash B : \mathtt{Type}_j}{\Gamma \vdash \Pi x : A. \ B : \mathtt{Type}_{max(i,j)}} \quad (\mathsf{PROD})$$

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$$\frac{\Gamma \vdash t : (\Pi x : A.B) \quad \Gamma \vdash t' : A}{\Gamma \vdash (t \ t') : B[t'/x]} \quad (APP)$$
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$$\frac{\Gamma \vdash t : A \quad \Gamma \vdash B : s \quad A \preceq B}{\Gamma \vdash t : B} \quad (\operatorname{Conv})$$

$$\frac{\in Ar(s) \quad \Gamma \vdash A : s' \quad (\Gamma, X : A \vdash C_{i} : s \quad C_{i} \in Co(X) \ \forall 1 \le i \le n)}{\Gamma \vdash \operatorname{Ind}(X : A) \{C_{1}, \dots, C_{n}\} : A} \quad (\operatorname{IND})$$

$$Ar(s) \text{ is the set of types of the form: } \Pi \overrightarrow{x} : \overrightarrow{M}. s$$

$$Co(X) \text{ is the set of types of the form: } \Pi \overrightarrow{x} : \overrightarrow{M}. X \ \overrightarrow{m}$$



$$\frac{A:\texttt{Type}_i, n: nat \vdash \texttt{Vect}_{A,n}:\texttt{Type}_i \quad A:\texttt{Type}_i \vdash nat:\texttt{Type}_0}{A:\texttt{Type}_i \vdash (\Pi n: nat. \texttt{Vect}_{A,n}):\texttt{Type}_i}$$













• Examples:
• ProD:
• A: Type_i, n: nat
$$\vdash \mathbb{Vect}_{A,n}$$
: Type_i A: Type_i \vdash nat : Type₀
A: Type_i \vdash (In n nat. $\mathbb{Vect}_{A,n}$): Type_i
• LAM:
• LAM:
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• APP:
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• A: Type_i \vdash f: (In nat. $\mathbb{Vect}_{A,n}$)
• APP:
• Ind(nat: Type₀){nat, nat \rightarrow nat}

 $A: \texttt{Type}_i \vdash \mathsf{Ind}(\mathit{Vect}_A: \mathit{nat} \rightarrow \texttt{Type}_i) \{\mathit{Vect}_A \ 0, \Pi n: \mathit{nat}. \ A \rightarrow \mathit{Vect}_A \ n \rightarrow \mathit{Vect}_A \ (S \ n) \}$

Examples:
PROD:
A: Type_i, n: nat
$$\vdash Vect_{A,n} : Type_i A : Type_i \vdash nat : Type_0$$

A: Type_i $\vdash (\Pi n : nat. Vect_{A,n}) : Type_i$
LAM:
A: Type_i $\vdash (\Pi n : nat. Vect_{A,n}) : Vect_{A,n}$
A: Type_i $\vdash (\lambda n : nat. t) : (\Pi n : nat. Vect_{A,n})$
APP:
A: Type_i $\vdash f : (\Pi n : nat. Vect_{A,n}) A : Type_i \vdash x : nat$
A: Type_i $\vdash f x : Vect_{A,x}$
IND:
 $\cdot \vdash Ind(nat : Type_0)\{nat, nat \rightarrow nat\}$

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$$\operatorname{Vect}_{A,n} \triangleq I \ n$$

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$$\begin{array}{ll} \displaystyle \frac{i \leq j}{\texttt{Type}_i \preceq \texttt{Type}_j} & (\text{C-Type}) \\ \\ \displaystyle \frac{A \simeq A' \quad B \preceq B'}{\Pi x : A. \ B \preceq \Pi x : A'. \ B'} & (\text{C-Prod}) \end{array}$$

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Predicative Calculus of Cumulative Inductive Types (pCuIC):



$$\begin{split} I &\equiv (\operatorname{Ind}(X:\Pi \vec{x}:\vec{N}.s) \{\Pi \vec{x_1}:\vec{M_1}. X \ \vec{m_1}, \dots, \Pi \vec{x_n}:\vec{M_n}. X \ \vec{m_n}\} \\ I' &\equiv (\operatorname{Ind}(X:\Pi \vec{x}:\vec{N'}.s') \{\Pi \vec{x_1}:\vec{M'_1}. X \ \vec{m'_1}, \dots, \Pi \vec{x_n}:\vec{M'_n}. X \ \vec{m'_n}\} \\ s &\leq s' \quad \forall i. \ N_i \leq N'_i \quad \forall i, j. \ (M_i)_j \leq (M'_i)_j \\ \hline \frac{\operatorname{length}(\vec{m}) = \operatorname{length}(\vec{x}) \quad \forall i. \ X \ \vec{m_i} \simeq X \ \vec{m'_i}}{I \ \vec{m} \leq I' \ \vec{m}} \end{split}$$
(C-IND)



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Predicative Calculus of Cumulative Inductive Types (pCuIC):
pCuIC is pCIC + C-IND rule:

$$I \equiv (\operatorname{Ind}(X : \Pi \vec{x} : \vec{N}. s) \{\Pi \vec{x_1} : \vec{M_1}. X \ \vec{m_1}, \dots, \Pi \vec{x_n} : \vec{M_n}. X \ \vec{m_n}\}$$

$$I' \equiv (\operatorname{Ind}(X : \Pi \vec{x} : \vec{N'}. s') \{\Pi \vec{x_1} : \vec{M_1'}. X \ \vec{m_1'}, \dots, \Pi \vec{x_n} : \vec{M_n'}. X \ \vec{m_n'}\}$$

$$s \leq s' \quad \forall i. \ N_i \leq N'_i \quad \forall i, j. \ (M_i)_j \leq (M'_i)_j$$

$$length(\vec{m}) = length(\vec{x}) \quad \forall i. \ X \ \vec{m_i} \simeq X \ \vec{m_i'}$$

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• Example:

$$\begin{split} \mathtt{Category} & \texttt{Q}\{\texttt{i j}\} \equiv \mathsf{Ind}(X: \mathtt{Type}_{max(i+1,j+1)})\{\Pi o: \mathtt{Type}_i.\Pi h: o \to o \to \mathtt{Type}_j.N\} \\ & \texttt{where } i \texttt{ and } j \texttt{ don't appear in term } N \end{split}$$



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Conjecture

- pCuIC has the following properties:
 - **1** Church-Rosser property
 - **2** Strong normalization
 - **3** Context Validity
 - 4 Typing Validity
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Let $\Gamma \vdash_{\mathsf{pClC}} T$: s be a pCIC type such that $\Gamma \vdash_{\mathsf{pCulC}} t$: T. Then there exists a term t' such that $\Gamma \vdash_{\mathsf{pClC}} t'$: T.

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• The latter reduces the soundness of pCuIC to the soundness of pCIC:

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■ We prove this conjecture for the lesser pCuIC (lpCuIC), a fragment of pCuIC

Outline

- 1 Universe polymorphism and Inductive Types
- 2 pCIC
- 3 pCuIC
- 4 lpCuIC
- 5 Future Work Conclusion

■ The lesser pCuIC (lpCuIC) is a fragment of pCuIC:

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■ In lpCuIC,

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$$\texttt{Type}_i \preceq \texttt{Type}_k \Rightarrow \texttt{EnsQ}\{\texttt{i}\} \preceq \texttt{EnsQ}\{\texttt{k}\}$$
• We prove soundness of lpCuIC:

Theorem (Inhabitants in lpCuIC)

Let t and T be terms such that $\Gamma \vdash_{\mathsf{lpCulC}} t : T$. Then there exists t' such that $\Gamma \vdash_{\mathsf{pClC}} t' : T$.

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Proof sketch.

We build lifters $\Gamma \dashv_{\mathsf{pClC}} \Upsilon_{T \preceq_{\mathsf{lpCulC}} T'} : T \to T'$ for $T \preceq_{\mathsf{lpCulC}} T'$. Each sub-term t : T for which we have used CONV to derive t : T' is replaced with $(\Upsilon_{T \preceq_{\mathsf{lpCulC}} T'} t)$.

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Corollary (Soundness of lpCuIC)

 $\cdot \vdash_{\mathsf{lpCulC}} t : False \text{ implies that there exists } t' \text{ such that } \vdash_{\mathsf{pClC}} t' : False.$

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 - Proof of conjectures about pCuIC

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Whether (Img_inh@{i j} F A) \leq (Img_inh@{i' j'} F B) when A \leq B depends on variance of F.

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Presented pCuIC

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- Discussed how it makes working with structures such as categories and ensembles easier
- Presented lpCuIC
 - As an intuitive reason why we believe pCuIC is sound