# Category Theory in Coq 8.5

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 $7^{\rm th}$  Coq Workshop – Sophia Antipolis June 26, 2015

List of the most important formalized notions

- basic constructions:
  - terminal/initial object
  - products/sums
  - equalizers/coequalizers
- external constructions:
  - comma categories
  - product category
- for Cat: (Obj := Category, Hom := Functor)
  - cartesian closure
  - initial object

• for **Set**:  $(Obj := Type, Hom := fun A B \Rightarrow A \rightarrow B)$ 

- $\blacksquare$  initial object
- sums
- equalizers
- coequalizers<sup>†</sup>
- pullbacks
- cartesian closure

- pullbacks/pushouts
- exponentials
- $\blacksquare + \dashv \Delta \dashv \times \text{ and } (- \times a) \dashv a^-$

- local cartesian closure<sup>†</sup>
- completeness
- co-completeness<sup>†</sup>
- sub-object classifier (Prop : Type)<sup>†</sup>
- topos<sup>†</sup>

 $^{\dagger}\text{uses}$  the axioms of propositional extensionality and constructive indefinite description (axiom of choice).

the Yoneda lemma

- adjunction
  - hom-functor adjunction, unit-counit adjunction, universal morphism adjunction and their conversions
  - duality :  $F \dashv G \Rightarrow G^{op} \dashv F^{op}$
  - uniqueness up to natural isomorphism
  - category of adjunctions
- kan extensions
  - global definition
  - local definition with both hom-functor and cones (along a functor)
  - uniqueness
  - preservation by adjoint functors
  - pointwise kan extensions (preserved by representable functors)
- (co)limits
  - as (left)right local kan extensions along the unique functor to the terminal category
  - (sum)product-(co)equalizer (co)limits
  - pointwise (as kan extensions)
- T (co)algebras (for an endofunctor T)

we use proof functional extensionality

we use proof irrelevance in many cases (mostly for proof of equality of arrows)

This implementation can be found at: https://bitbucket.org/amintimany/categories/

- This implementation uses some features of Coq 8.5, most notably:
  - Primitive projections for records:
  - Universe polymorphism: for relative smallness/largeness

### Primitive projections for records:

The  $\eta$  rule for records: two instance of a record type are *definitionally* equal if all their respective projections are

# • E.g., for $\{|x : A; y: A|\}$ and f $u = \{|x := y u; y := x u|\}$ , we have f (f u) $\equiv u$

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  - $\blacksquare \ \mathrm{E.g., \ for \ } \{| \mathtt{x} \ : \ \mathtt{A}; \ \mathtt{y}: \ \mathtt{A}| \} \ \mathrm{and} \ \mathtt{f} \ \mathtt{u} = \{| \mathtt{x} := \mathtt{y} \ \mathtt{u}; \ \mathtt{y} := \mathtt{x} \ \mathtt{u}| \}, \ \mathrm{we \ have \ } \mathtt{f} \ (\mathtt{f} \ \mathtt{u}) \ \equiv \mathtt{u}$
  - This provides *definitional* equalities, e.g.: (similar to Coq/HoTT implementation)
    - For Categories: (C<sup>op</sup>)<sup>op</sup> ≡ C
    - For Functors: (F^op)^op = F
    - For Natural Transformations: (N<sup>op</sup>)<sup>op</sup> ≡ N

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Class Category : Type@{max(i+1, j+1)} := 
{
    Obj : Type@{i}
    Hom : Obj \rightarrow Obj \rightarrow Type@{j}
    id : forall a : Obj, Hom a a
    compose : forall a b c, (f : Hom a b) (g : Hom c d) : Hom a c
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- Universe levels in definitions and theorems are inferred by Coq and never appear in the source code
- For each definition, theorem, etc., we get some constraints on universe levels
- The definition, theorem, etc. only works for those copies that satisfy the side constraint

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 $\texttt{Instance Cat}: \texttt{Category} \texttt{@} \texttt{\{i, j\}} := \texttt{{Obj}} := \texttt{Category} \texttt{@} \texttt{\{k, 1\}}; \texttt{Hom} := \texttt{Functor}; \ldots \texttt{\}}$ 

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But
We can't prove or even specify (universe inconsistency)
```

```
forall (C : Category), C = lift C
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- That means we can't define Yoneda embedding as exponential transpose (currying) of the hom functor
- Defining Yoneda separately, it still can only be applied in a category C : Category@{i, j} if i = j.
- We can use Yoneda to prove that in any cartesian closed category:

$$(a^b)^c \simeq a^{b \times c}$$

but this lemma can't be applied to **Cat** or **Set** 

• Consider our proof of uniqueness of adjoint functors (up to natural isomorphism)

### <sup>1</sup>for $f: a \times b \to c$ we have $curry(f): a \to c^b$

 $hom_D(F, -) \simeq hom_C(-, G)$  and  $hom_D(F', -) \simeq hom_C(-, G)$ 

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- We therefore postulate existence of a universe polymorphic singleton type:

```
Parameter UNIT : Type.
Parameter TT : UNIT.
Axiom UNIT_SINGLETON : forall x y : UNIT, x = y.
```

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- We use features of Coq 8.5: primitive projections and universe polymorphism
- Universe polymorphism to represent smallness/largeness
  - This works well to a degree that we don't need to mention any universe levels and can prove things like: Cat and Complete\_Preorder
  - It also has shortcomings: e.g., can't use Yoneda in Cat and Set