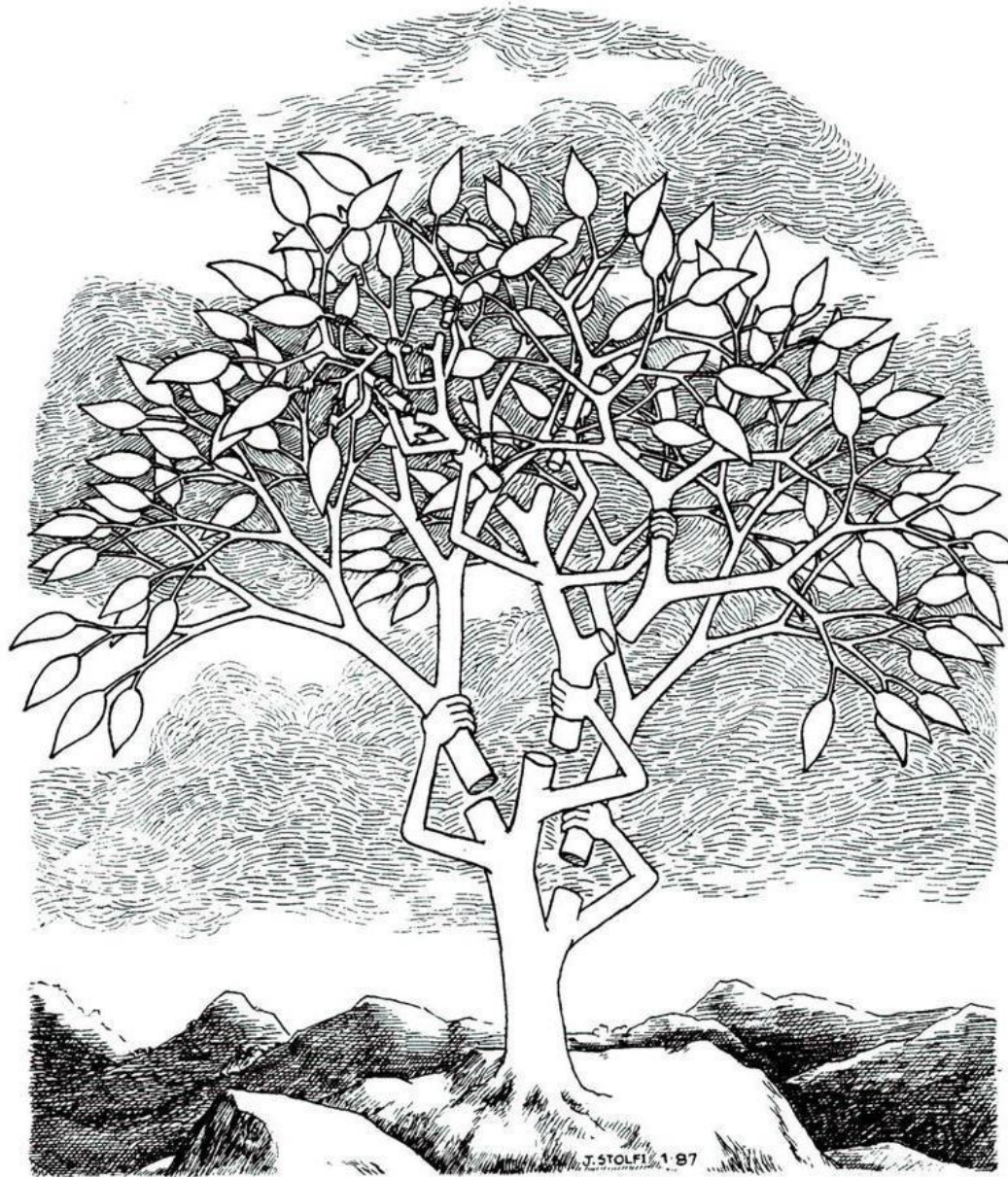
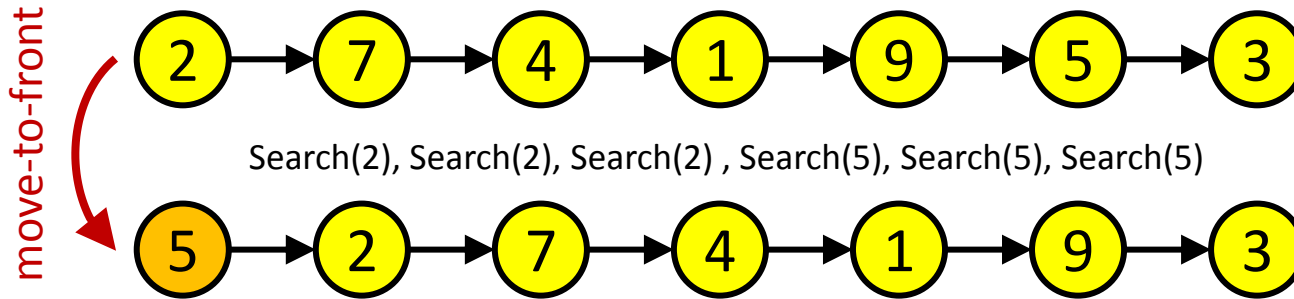


Self-Adjusting Data Structures



Self-Adjusting Data Structures



Lists

[D.D. Sleator, R.E. Tarjan, *Amortized Efficiency of List Update Rules*, Proc. 16th Annual ACM Symposium on Theory of Computing, 488-492, 1984]

Dictionaries

[D.D. Sleator, R.E. Tarjan, *Self-Adjusting Binary Search Trees*, Journal of the ACM, 32(3): 652-686, 1985]

→ splay trees

Priority Queues

[C.A. Crane, *Linear lists and priority queues as balanced binary trees*, PhD thesis, Stanford University, 1972]

[D.E. Knuth. *Searching and Sorting*, volume 3 of The Art of Computer Programming, Addison-Wesley, 1973]

→ leftist heaps

[D.D. Sleator, R.E. Tarjan, *Self-Adjusting Heaps*, SIAM Journal of Computing, 15(1): 52-69, 1986]

→ skew heaps

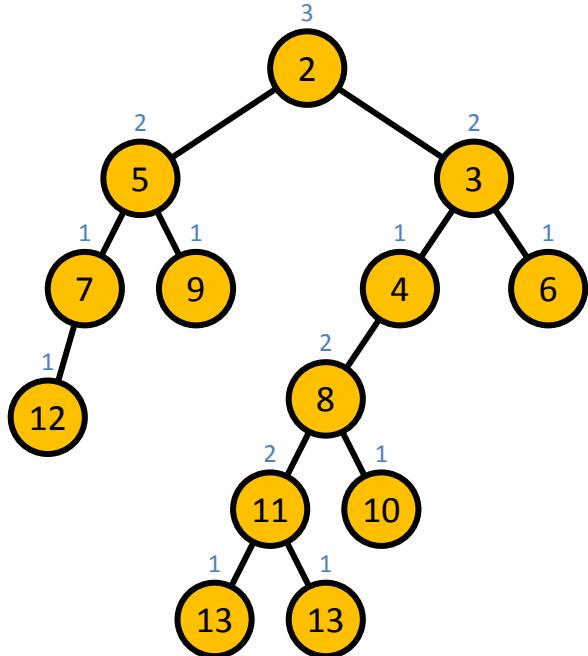
[C. Okasaki, *Alternatives to Two Classic Data Structures*, Symposium on Computer Science Education, 162-165, 2005]

→ maxiphobic heaps

[A. Gambin, A. Malinowski. *Randomized Meldable Priority Queues*, Proc. 25th Conference on Current Trends in Theory and Practice of Informatics: Theory and Practice of Informatics, 344-349, 1998]

→ randomized version of maxiphobic heaps

Okasaki: *maxiphobic heaps are an alternative to leftist heaps ... but without the "magic"*



Heaps (via Binary Heap-Ordered Trees)

MakeHeap, FindMin, Insert, **Meld**, DeleteMin

||
Meld

||
Cut root + Meld

Leftist Heaps

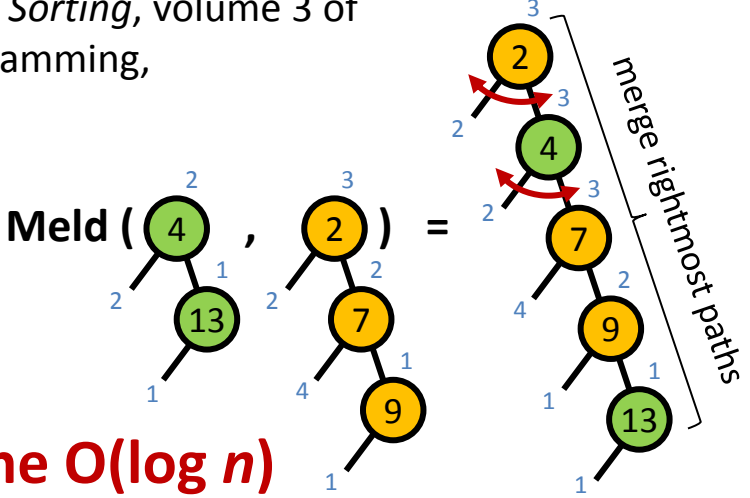
[C.A. Crane, *Linear lists and priority queues as balanced binary trees*, PhD thesis, Stanford University, 1972]

[D.E. Knuth. *Searching and Sorting*, volume 3 of *The Art of Computer Programming*, Addison-Wesley, 1973]

Each node **distance to empty leaf**

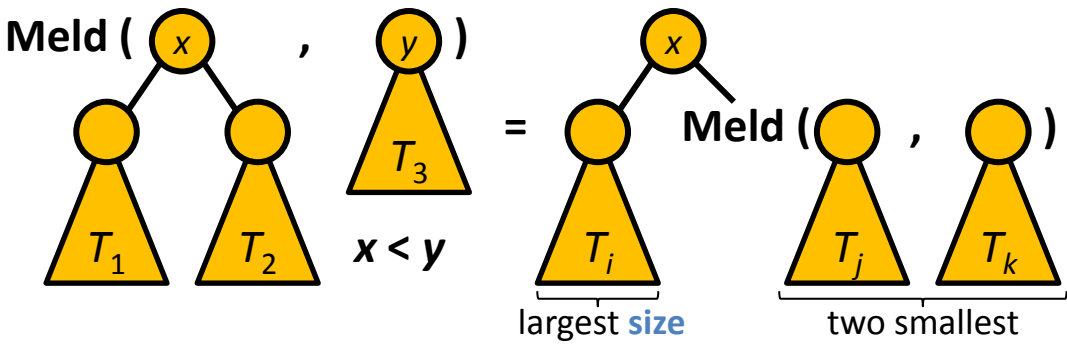
Inv. Distance right child \leq left child

\Rightarrow rightmost path $\leq \lceil \log n + 1 \rceil$ nodes



Time $O(\log n)$

Maxiphobic Heaps



[C. Okasaki, *Alternatives to Two Classic Data Structures*, Symposium on Computer Science Education, 162-165, 2005]

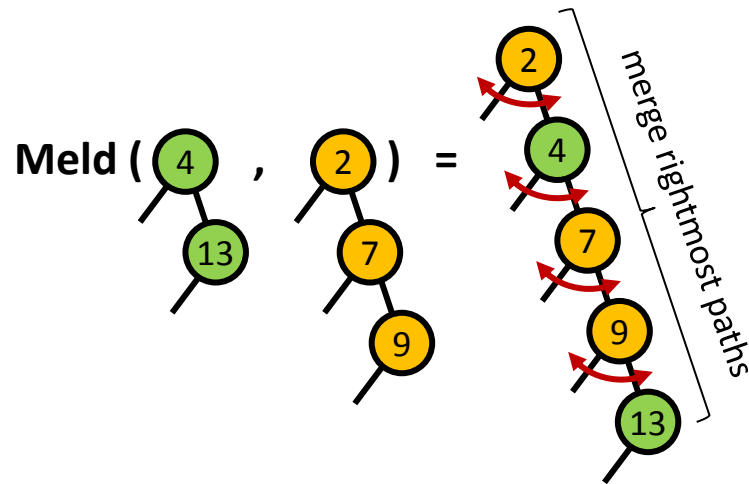
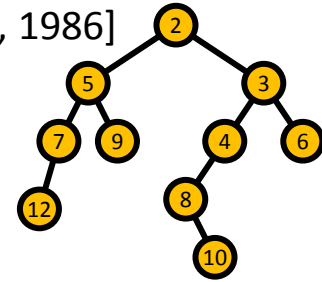
Max size $n \rightarrow \frac{2}{3}n$

Time $O(\log_{3/2} n)$

Skew Heaps

[D.D. Sleator, R.E. Tarjan, *Self-Adjusting Heaps*, SIAM Journal of Computing, 15(1): 52-69, 1986]

- Heap ordered binary tree with **no** balance information
- MakeHeap, FindMin, Insert, **Meld**, DeleteMin
- **Meld** = merge rightmost paths + swap **all** siblings on merge path



v **heavy** if $|T_v| > |T_{p(v)}|/2$, otherwise **light**
 \Rightarrow any path $\leq \log n$ **light** nodes

Potential $\Phi = \#$ **heavy right** children in tree

$O(\log n)$ amortized Meld

Heavy right child on merge path before meld \rightarrow replaced by **light** child

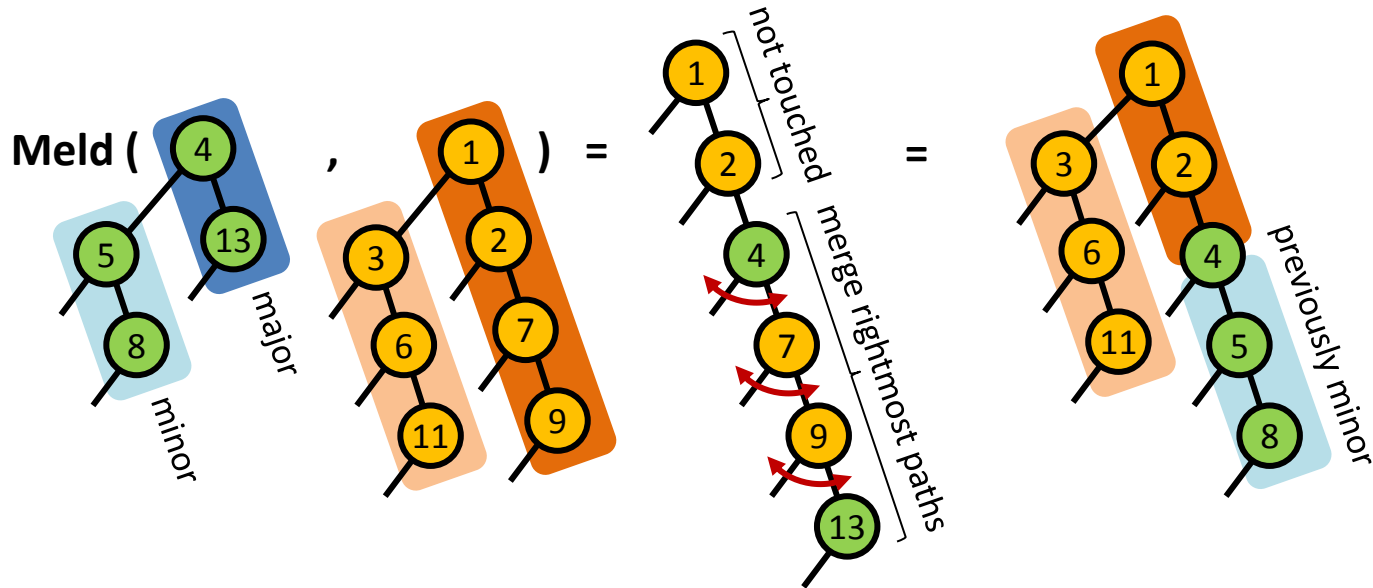
\Rightarrow 1 potential released for heavy node

\Rightarrow amortized cost $2 \cdot \#$ **light** children on rightmost paths before meld

Skew Heaps – $O(1)$ time Meld

[D.D. Sleator, R.E. Tarjan, *Self-Adjusting Heaps*, SIAM Journal of Computing, 15(1): 52-69, 1986]

- **Meld** = Bottom-up merge of rightmost paths + swap **all** siblings on merge path



$$\Phi = \# \text{ heavy right children in tree} + 2 \cdot \# \text{ light children on minor \& major path}$$

$O(1)$ amortized Meld

Heavy right child on merge path before meld \rightarrow replaced by **light** child \Rightarrow 1 potential released

Light nodes disappear from major paths (but might \rightarrow **heavy**) $\Rightarrow \geq 1$ potential released

④ and ⑤ become a heavy or light right children on major path \Rightarrow potential increase by ≤ 4

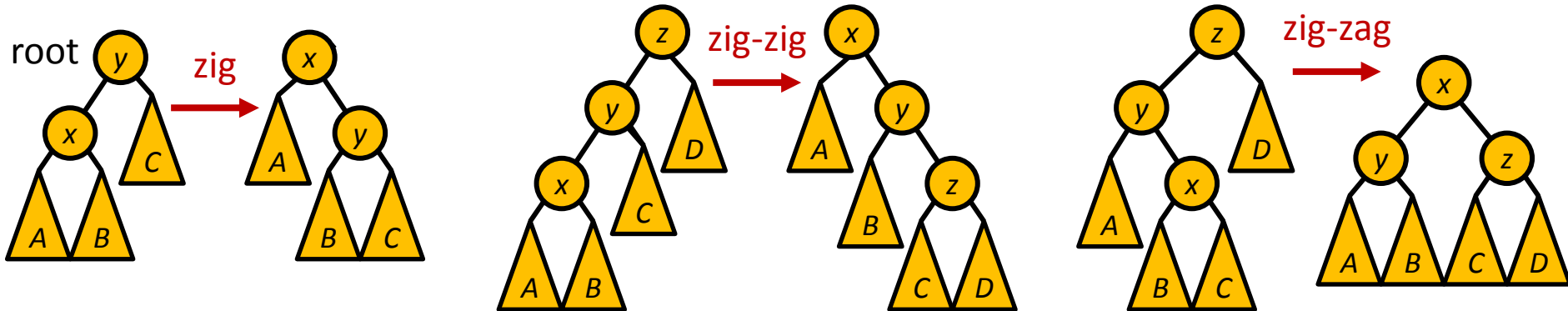
$O(\log n)$ amortized DeleteMin

Cutting root \Rightarrow 2 new minor paths, i.e. $\leq 2 \cdot \log n$ new **light** children on minor & major paths 5

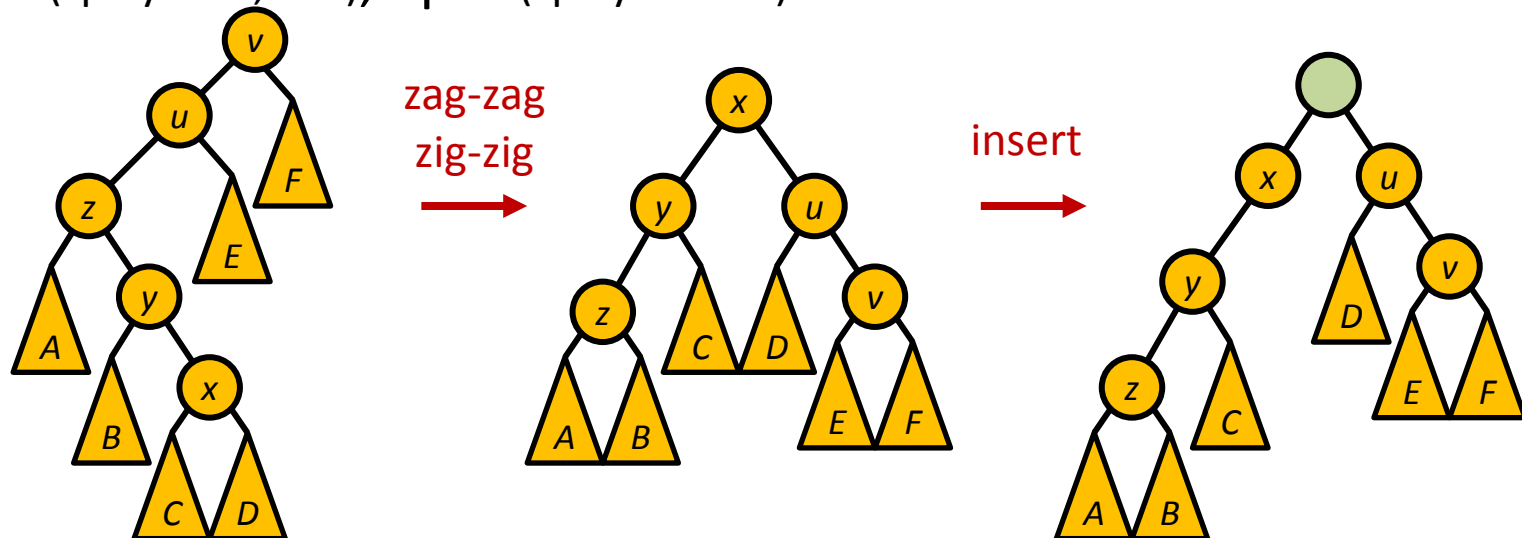
Splay Trees

[D.D. Sleator, R.E. Tarjan, *Self-Adjusting Binary Search Trees*, Journal of the ACM, 32(3): 652-686, 1985]

- Binary search tree with **no** balance information
- splay(x)** = rotate x to root (zig/zag, zig-zig/zag-zag, zig-zag/zag-zig)



- Search (splay), Insert (splay predecessor+new root), Delete (splay+cut root+join), Join (splay max, link), Split (splay+unlink)



Splay Trees

[D.D. Sleator, R.E. Tarjan, *Self-Adjusting Binary Search Trees*, Journal of the ACM, 32(3): 652-686, 1985]

- The access bounds of splay trees are amortized
 - (1) $O(\log n)$
 - (2) Static optimal
 - (3) Static finger optimal
 - (4) Working set optimal (proof requires dynamic change of weight)
- **Static optimality:** $\Phi = \sum_v \log |T_v|$