

ERRATA FOR “A STRATIFIED APPROACH TO LÖB INDUCTION”

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1. THE PROOF OF THE NO-GO THEOREM (COROLLARY 3)

While the no-go theorem (Corollary 3) is itself correct, the intermediate result used to justify it (Theorem 2) is not. We are grateful to Sean Moss for pointing out this oversight. We present a revised proof of Corollary 3 which parallels the techniques presented in Berger and Setzer [BS18].

We recall the statement of the no-go theorem (Corollary 3):

Theorem 1.1. *Conversion is undecidable in a guarded type theory satisfying the following requirements:*

- *there is a type S equipped with a definitional isomorphism $S \cong \mathbf{nat} \times \blacktriangleright S$.*
- *$\mathbf{next} : A \rightarrow \blacktriangleright A$ is injective on closed terms,*
- *the \mathbf{lob} operator unfolds.*

We fix some effective encoding of Turing machines $\mathbf{TM} = \mathbf{nat}$ as well as an encoding of the state of the tape of the machine as another natural number $\mathbf{State} = \mathbf{nat}$. We further assume the following operations are defined:

- (1) $\mathbf{init} : \mathbf{TM} \rightarrow \mathbf{State}$
- (2) $\mathbf{step} : \mathbf{TM} \times \mathbf{State} \rightarrow \mathbf{State}$
- (3) $\mathbf{halt} : \mathbf{TM} \times \mathbf{State} \rightarrow \mathbf{bool}$
- (4) $\mathbf{result} : \mathbf{State} \rightarrow \mathbf{nat}$

These operations parallel those required by Berger and Setzer [BS18] and are well-known to be definable in type theory—in fact, they are primitive recursive. Using these operations, we can define an element of \mathbf{S} which represents the (potentially non-terminating) trace of a machine:

$\mathbf{exec} : \mathbf{TM} \rightarrow \mathbf{S}$

$\mathbf{exec}(x) = \mathbf{go}(\mathbf{init}(x))$

$\mathbf{go} : \mathbf{State} \rightarrow \mathbf{S}$

$\mathbf{go} = \mathbf{lob}(r. \lambda s. \mathbf{if} \mathbf{halt}(x, s) \mathbf{then} \mathbf{const}(\mathbf{result}(s)) \mathbf{else} \mathbf{cons}(0, r \circledast \mathbf{next}(\mathbf{step}(x, s))))$

Assuming that a machine x terminates in n steps with result ϵ , and using the fact that \mathbf{lob} unfolds, we therefore conclude the following:

$$\mathbf{exec}(x) = \mathbf{cons}(0, \dots, \mathbf{next}(\mathbf{cons}(0, \mathbf{next}(\mathbf{const}(\epsilon))))))$$

Accordingly, using the η law for dependent sums and the definitional isomorphism for \mathbf{S} we see that if x terminates with result 0 then $\mathbf{exec}(x) = \mathbf{const}(0)$. To see this, suppose x terminates in 2 steps with result 0:

$\mathbf{exec}(x)$

$$\begin{aligned}
&= \mathbf{go}(s_0) & s_0 &= \mathbf{init}(x) \\
&= \mathbf{cons}(0, \mathbf{next}(\mathbf{go}(s_1))) & s_1 &= \mathbf{step}(x, s_0) \\
&= \mathbf{cons}(0, \mathbf{next}(\mathbf{cons}(0, \mathbf{next}(\mathbf{const}(0)))))) \\
&= \mathbf{cons}(0, \mathbf{next}(\mathbf{const}(0))) & & \text{Using the } \eta \text{ law and definition of } \mathbf{const} \\
&= \mathbf{const}(0)
\end{aligned}$$

This argument is easily seen to extend to programs taking an arbitrary but finite number of steps to terminate with result 0. Furthermore, if x terminates in n steps with result 1 then $\mathbf{exec}(x)$ is not definitionally equal to $\mathbf{const}(0)$; the former has $\mathbf{next}^n(1)$ for the n th element while the latter has $\mathbf{next}^n(0)$ and \mathbf{next} is assumed to be injective on closed terms.

To complete our proof, we require the following classical result:¹

Theorem 1.2 (Rosser [Ros36], Kleene [Kle50], Trahtenbrot [Tra53]). *Consider the following subsets of natural numbers:*

$$\begin{aligned}
A &= \{x \mid x \text{ is a Turing machine terminating with result } 0\} \\
B &= \{x \mid x \text{ is a Turing machine terminating with result } 1\}
\end{aligned}$$

A and B are recursively inseparable. In other words, there is no computable function $\mathbb{N} \rightarrow \mathbb{N}$ which sends A to 0 and B to 1 while terminating on all inputs.

We are now in a position to prove the no-go theorem:

Proof of Theorem 1.1. Suppose that conversion was decidable. Precisely, fix an effective encoding of terms of guarded type theory as natural numbers and assume there is a total computable function $e : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{B}$ which decides conversion.

In this case, consider the following computable function:

$$\lambda n. e(\ulcorner \mathbf{exec}(\mathbf{suc}^n(\mathbf{zero})) \urcorner, \ulcorner \mathbf{const}(0) \urcorner)$$

As e is assumed to be total, this is a total computable function. However, we have just seen that this function separates Turing machines halting with 0 and those halting with 1, contradicting Theorem 1.2. \square

REFERENCES

- [BS18] Ulrich Berger and Anton Setzer. “Undecidability of Equality for Codata Types”. In: *Coalgebraic Methods in Computer Science*. Ed. by Corina Cîrstea. Cham: Springer International Publishing, 2018, pp. 34–55. ISBN: 978-3-030-00389-0 (cit. on pp. 1, 2).
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- [Tra53] B. A. Trahtenbrot. “On Recursive Separability”. In: *Dokl. Acad. Nauk* 88 (1953), pp. 953–956 (cit. on p. 2).

¹We have followed the attribution used by Berger and Setzer [BS18] for this result. There the authors also point to textbook reproductions of this fact.