### Cache-Oblivious Dynamic Dictionaries with Update/Query Tradeoff

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## **Dynamic Dictionary**

#### Search(k)

Insert(e) Delete(k)

# I/O Model

[Aggarwal, Vitter 88]



#### Cost: the number of *block transfers* (I/Os)

## Cache-Oblivious Algorithms

[Frigo, Leiserson, Prokop, Ramachandran 99]



- Algorithms not parameterized by *M* or *B*
- Analyze in *ideal-cache model* I/O model, except optimal replacement policy is assumed

### Cache-Oblivious Dynamic Dictionaries

Cache-Aware	Search	Insert
B-tree [BM72]	<i>O</i> (log <sub>B</sub> N)	O(log <sub>B</sub> N)
Buffered B-tree [BF03]	$O((1/\varepsilon)\log_B N)$	$O((1/\varepsilon B^{1-\varepsilon})\log_B N)^*$

Cache-Oblivious	Search	Insert
CO B-tree [BDF-00, BDIW04,BFJ02]	$O(\log_B N)$	<i>O</i> (log <sub><i>B</i></sub> <i>N</i> +)
COLA [BFF-CFKN07]	O(log <sub>2</sub> N)	<i>O</i> ((1/ <i>B</i> )log <sub>2</sub> <i>N</i> )*
Shuttle Tree [BFF-CFKN07]	Ø(log <sub>₿</sub> N)	O((1/B <sup>Ω(1/(log log B)<sup>2</sup>)</sup> )log <sub>B</sub> N +)*
xDict [this paper]	$O((1/\varepsilon)\log_B N)$	<b>Ο((1/εB<sup>1-ε</sup>)log<sub>B</sub>N)*</b> †

\* amortized

<sup>+</sup> assumes  $M = \Omega(B^2)$ 

Building an xDict (
$$\varepsilon = 1/2$$
)  
 $2^{2^{1}-box}$ 
 $2^{2^{2}-box}$ 
 $2^{2^{1}-box}$ 

IglgN x-boxes of squaring capacities

**Insert**: insert into smallest box

- When a box reaches capacity, Flush it and Batch-Insert into the next box
- $\mathcal{O}((1/\sqrt{B}) \log_B x)$  cost is dominated by largest box  $\rightarrow \mathcal{O}((1/\sqrt{B}) \log_B N)$

Search: search in each x-box

•  $\mathcal{O}(\log_B x)$  cost is dominated by largest box  $\mathcal{O}(\log_B N)$ 



**Batch-Insert**(*D*,*A*): insert  $\Theta(x)$  presorted objects - cost  $O((1/\sqrt{B})\log_B x)$  per element

Search( $D,\kappa$ ): - cost is  $O(\log_B x)$ 

Flush(D): produce a size-x<sup>2</sup> sorted array A containing all the elements in the x-box D — cost is O(1/B) per element







**Theorem**: An *x*-Box uses at most *cx*<sup>2</sup> space

(within constant factor of capacity/output buffer)

## Fractional Cascading within x-Box



#### Propagate samples upwards + Lookahead pointers



Describe searches by the recurrence  $S(x) = 2S(\sqrt{x}) + O(1)$ with base case  $S(\sqrt{B}) = 0$ Solves to  $O(\log_B N)$ 

## Flush



- Moves all real elements to the output buffer in sorted order.
- Lookahead pointers are rebuilt to facilitate searches. Most subboxes remain empty.



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- 4. If no empty subboxes remain, Flush all of them and merge output buffers into middle buffer.

# Generalizing to $O((1/\epsilon B^{1-\epsilon})\log_B N)$



Parameterize by  $0 < \alpha \leq 1$ , where  $\alpha = \varepsilon/(1-\varepsilon)$ 



 $1/\epsilon$  overhead comes from geometric sum in xDict

## **Results Summary**

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