Dynamic Data Structures: The Interplay of Invariants and Algorithm Design

Casper Kejlberg-Rasmussen PhD Defense, 18th of November 2013







Outline

- Introduction to Algorithms and Data Structures
- Implicit Working-Set Dictionaries
- Skyline Queries
- Catenable Priority Queues with Attrition
- Conclusion















Algorithm ≈ Recipe Data ≈ Ingredients





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Algorithm ≋ Recipe Data ≋ Ingredients



 Data Structure ≈ Organization of Ingredients
Updates ≈ Ingredients Updates
Queries ≈ Follow Recipes









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Design Criteria





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Design Criteria

- Fast
- Low Space Usage



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Queries ≈ Follow Recipes

Design Criteria

• Fast

• Fast queries and updates

• Low Space Usage

Low Space Usage



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Reality









Reality









Reality



Complexity

$$(CPUSpeed \cdot L1 \cdot \lceil \frac{L2}{L1} \rceil \cdot \lceil \frac{L3}{L2} \rceil \cdot \lceil \frac{n}{L3} \rceil) \log n$$



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Reality

Models



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$$O(n\log n)$$



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Reality

Models





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Static and Dynamic Problems Static

High

Low Car offers







- We want to buy a new car!
- We have a list of offers
- We want to find the *undominated* offers, i.e. unmatched in price and quality



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- New offers might change the set of undominated offers







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An *Invariant* is a logical statement about the structural properties of a data structure







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- Invariants are designed from observations of the problem we try to solve
- Consider two cars from the *car offers problem* from before







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 c_2 dominates c_1 c_1 and c_3 are incomparable c_2 and c_3 are incomparable









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 We notice that the *undominated* (c₂ and c₃) offers are sorted both according to price and quality simultaneously



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- From our observation we store all undominated points in a search tree sorted simultaneously on price and quality
- This gives us the following data structure and invariant







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Invariant: All undominated offers are stored in the search tree *T* and are sorted simultaneously on price and quality







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- Where k is the number of undominated car offers out of all offers
- Inserting a new car offer takes O(log k) time
- Reporting the undominated offers takes O(k) time



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- Dynamic data structure and invariant design follows a cycle:
 - Observe properties of the problem
 - Formulate invariants
 - Check if the invariants are strong enough to support queries
 - Check if the invariants can be maintained under updates
- The process is similarly to suitcase packing:
 - 1. We place our stuff in the suitcase
 - 2. We check if the lid can be closed
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- All operations from the RAM
- It is not allowed to create words, only to move them
- All *n* words have to be in contiguous positions

- Often it is assumed that all elements are distinct
- Fundamental trick: encode a bit in a pair of adjacent and distinct elements



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- Element x has a working-set number of I_x iff:
- I_x elements different from x have been searched for since we last searched for x

- An Implicit Dictionary with the Working-Set Property:
 - Insert(x): insert element x into the dictionary and set $I_x = 0$
 - Delete(x): delete element x from the dictionary
 - Search(x): determine if x is in the dictionary and set $I_x = 0$
 - Predecessor(x): find the address of the predecessor of x
 - Successor(x): find the address of the successor of x



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Previous and Our Results

| Ref. | WS prop. | Insert/Delete(e) | Search(e) | Predecessor/ Successor(e) | Additional Words |
|----------|-------------|-------------------------------|---|------------------------------|---------------------|
| M1989 | - | O(log ² <i>n</i>) | O(log ² <i>n</i>) | | None |
| FGMP2002 | - | $O(\log^2 n / \log \log n)$ | O(log ² n/log log n) | | None |
| FG2006 | - | O(log <i>n</i>) amor. | O(log <i>n</i>) | O(log n) | None |
| FG2003 | - | O(log n) | O(log <i>n</i>) | O(log n) | None |
| 12001 | + | O(log n) | O(log I _e) | O(log I _{e*}) | O(<i>n</i>) |
| BHM2009 | + | O(log <i>n</i>) | $O(\log I_e)$ exp. | O(log n) | O(log log n) |
| BHM2009 | + | O(log n) | $O(\log I_e)$ exp. | O(log I _e) exp. | O(√ <i>n</i>) |
| BKT2010 | + | O(log n) | O(log I _e) | O(log n) | None |
| BK2011 | + | O(log <i>n</i>) | $O(\log \min(I_{p(e)}, I_e, I_{s(e)}))$ | O(log I _{e*}) | None |

 $e^{\,\ast}$ is the predecessor/successor of e



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A dictionary laid out in memory addresses [i,j]



- Interface:
 - Insert-left/right(e): insert element e into the dictionary which grows to the left/right
 - Delete-left/right(e): delete element e from the dictionary which shrinks from the left/right
 - Search(e): finds the address of e if e is in the dictionary
 - Predecessor(e): finds the address of the predecessor of e
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- Can be constructed from O(1) FG dictionaries used as black boxes



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Implicit Working-Set Dictionaries





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Implicit Working-Set Dictionaries

Exponential layout

 B_1

B



m=O(log log n)

| B | B _m |
|---|----------------|
|---|----------------|

- *B_i* consists of O(1) moveable dictionaries
- All elements e in B_i have $I_e \ge 2^{2^{i-1+k}}$ or $I_e \ge 2^{2^{i+k}}$
- Searched and inserted elements are moved into B₀ (overflows)
- These are the ideas we used in the ISAAC 2010 paper
- Only gives O(log n) bounds for predecessor and successor searches as all B_i have to be searched: the invariants do not relate e to its prede/suc-cessor



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Implicit Working-Set Dictionaries

Exponential layout

B₁

B₂





В

m

B_{*m*-1}

| \boldsymbol{B}_{\cdot} | consist |
|--------------------------|---------|

B

Summary of Invariants

- All eleme
- Searched

These ar

- Blocks of fixed size: easy word/pointer encoding
- Elements in each block are divided according to working-set number

rflows)

Only gives only *m* bounds for predecessor and successor searches as all B_i have to be searched: the invariants do not relate *e* to its prede/suc-cessor



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Intervals to solve the predecessor and successor problems







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Intervals to solve the predecessor and successor problems



- Divide the key-space into mutually disjoint intervals aligned with the points/elements
- Invariant: any point/element, intersecting an interval at level i, lies in block B_i
- Predecessor/Successor(e) searches can terminate when an interval at level i is intersected



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Representing the intervals implicitly





Representing the intervals implicitly

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Representing the intervals implicitly

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Implicit Representation of Intervals

Representing the intervals implicitly



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Implicit Representation of Intervals

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AARHUS



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- Given two points $p,q \in P \subseteq \mathbb{R}^2$ we say p *dominates* q iff $p_X \ge q_X$ and $p_Y \ge q_Y$
- The maximal/skyline points of a point set P⊆ℝ² are the undominated points
- Given a *dynamic* point set $P \subseteq \mathbb{R}^2$ we want to be able to find the skyline for a given query range $Q = [x_{1,}x_2] \times [y_{1,}y_2]$



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CPU

Memory





























- We count the number of disk accesses, not CPU instructions
- When reading/writing from/to disk, we can access *B* consecutive elements in one I/O
- Our algorithms should spent O(1/B) I/Os to access one element
- Scanning uses O(n/B) I/Os and search trees uses $O(\log_{B} n)$ I/Os



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| Problem | Space | Pre-proces | Query | Query | Update | Domain | Source | |
|------------|--|--|---|-----------------------------------|--------------------------------------|--------|----------------|--|
| Top-Open | O(<i>n</i>) | O(<i>n</i> log <i>n</i>) | O(1+k) | - | - | R | YA10 | |
| Top-Open | O(<i>n</i>) | O(<i>n</i> log <i>n</i>) | O(log n+k) | - | O(log n) | R | BT11 | |
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| 4-sided | O(<i>n</i> log <i>n</i>) | O(<i>n</i> log <i>n</i>) | O(log <i>n+k</i>) | - | - | R | KDKS11 | |
| 4-sided | O(n log n/log log n) | O(n log n/log log n) | $O(n \log n \log n + k)$ | - | - | Rank | GKASK97 | |
| 4-sided | O(<i>n</i> log <i>n</i>) | O(<i>n</i> log <i>n</i>) | $O(\log^2 n + k)$ | - | O(log ² n) | R | BT11 | |
| Top-Open | O(<i>n/B</i>) | O(<i>n/B</i> log _{<i>M/B</i>} <i>n/B</i>) | O(<i>n/B</i>) | - | - | R | PTFS05 ST11 | |
| Top-Open | Top-Open Heuristics, various update types: HKIT06,PTFS05,TO06,WAEA07 | | | | | | | |
| Top-Open | O(<i>n/B</i>) | O(<i>n/B*</i>) | $O(\log_B n + k/B)$ | - | - | R | KTTTY13 | |
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| Top-Open | O(<i>n/B</i>) | O(<i>n/B*</i>) | O(log _{2B} εn/B+k/B ^{1-ε}) | - | O(log _{2B^e} n/B) | R | KTTTY13 | |
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| Top-Open | O(<i>n/B</i>) | Indexabili | ity Model | - | - | U | KTTTY13 | |
| Top-Open | O(<i>n/B</i>) | Indivisibility | Assumption 2 | - | - | Rank | KTTTY13 | |
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| 4-sided | O(<i>n/B</i>) | O(<i>n/B*</i>) | $O((n/B)^{\epsilon}+k/B)$ | $\Omega((n/B)^{\varepsilon}+k/B)$ | O(log n/B) | R | KTTTY13 |
| * Assumes pre-sorting | | | | | | | |

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map

<mark>Grundforskningsfon</mark> Danish National Research Foundation

Danmarks







| Problem | Space | Pre-proces | Query | Query | Update | Domain | Source |
|---|--|-------------------------------|--|-----------------------------------|---------------------------|--------|----------------|
| Top-Open | O(<i>n</i>) | O(<i>n</i> log <i>n</i>) | O(1+k) | - | - | R | YA10 |
| Top-Open | O(<i>n</i>) | O(<i>n</i> log <i>n</i>) | O(log n+k) | - | O(log n) | R | BT11 |
| Top-Open | O(<i>n</i>) | $O(n \log n \log n)$ | O(log n/log log n+k) | - | O(log n/log log n) | R | BT11 |
| 4-sided | O(<i>n</i> log <i>n</i>) | O(<i>n</i> log <i>n</i>) | O(log n+k) | - | - | R | KDKS11 |
| 4-sided | O(n log n/log log n) | O(n log n/log log n) | $O(n \log n \log n + k)$ | - | - | Rank | GKASK97 |
| 4-sided | O(<i>n</i> log <i>n</i>) | O(<i>n</i> log <i>n</i>) | $O(\log^2 n + k)$ | - | O(log ² n) | R | BT11 |
| Top-Open | O(<i>n/B</i>) | O(n/B log _{M/B} n/B) | O(<i>n/B</i>) | - | - | R | PTFS05 ST11 |
| Top-Open | Top-Open Heuristics, various update types: HKIT06,PTFS05,TO06,WAEA07 | | | | | | |
| Top-Open | O(n/B) | Taday | O(log _B n+k/B) | - | - | R | KTTTY13 |
| Top-Open | O(n/A FOI | Today! | O(loglog _B U+k/B) | - | - | U | KTTTY13 |
| Top-Open | O(<i>n/B</i>) | О(п/В") | O(1+k/B) | - | - | Rank | KTTTY13 |
| 4-sided | O(<i>n/B</i>) | O(<i>n/B*</i>) | O((<i>n/B</i>) ^{<i>ε</i>} + <i>k/B</i>) | $\Omega((n/B)^{\varepsilon}+k/B)$ | - | R | KTTTY13 |
| Top-Open | O(<i>n/B</i>) | O(<i>n/B*</i>) | O(log _{2B^ε} n/B+k/B ^{1-ε}) | - | O(log _{2B} en/B) | R | KTTTY13 |
| 4-sided | O(<i>n/B</i>) | O(<i>n/B*</i>) | $O((n/B)^{\varepsilon}+k/B)$ | $\Omega((n/B)^{\varepsilon}+k/B)$ | O(log n/B) | R | KTTTY13 |
| Danmarks * Assumes pre-sorting Grundforskningsfon Casper Kejlberg-Rasmussen | | | | | | | AARHUS |
| Danish National Research Foundation Data Go 21/36 | | | | | | | |









- Consider mirroing the point set in the y-axis
- Let x represent the insertion time and y the key space
- When inserting element *e* it deletes/*attrites* all elements inserted before it with a larger key
- Non-attrited points and undominated points are equivalent



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- DeleteMin(): Deletes the head/minimum element of the queue
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- A (2Bⁱ,4Bⁱ)-tree augmented with PQAs
- Internal node have between 2B^e and 4B^e children and stores a PQA which is the concatenation of its childrens PQAs
- Leafs stores a PQA over the B to 2B elements it contains
- Updating element *e* discards the PQAs on the path to *e* and rebuilds them again



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Data Structure and Invariants

- A (2B^e, 4B^e)-tree augmented with PQAs
- size $O(B^{1-\varepsilon})$ Internal node have between • Fanout 2B^ε 7 [2B^ε,4B^ε] Summary of Invariants stor cond Nodes have a bounded degree PQA • All leaves have the same depth Nodes are augmented with PQAs Leaf to 2B elements it contains Updating element *e* discards the PQAs on the path to e and rebuilds them again

PQAs of size [B,2B]

PQA Buffer



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Top-Open Skyline Queries

- We find the leafs of x₁ and x₂ and make two PQAs of the elements within [x₁,x₂] called Q₁ and Q₂
- We concatenate Q₁, all PQAs of subtrees inside [x₁,x₂] and Q₂ into one PQA Q (Divide and conquer)
- We call DeleteMin on Q and report the returned element
 e unless e has y-value larger than -y

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Top-Open Skyline Queries

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Top-Open Skyline Queries

y Top-Open $y_{1} \rightarrow 0$

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Outline

- Introduction to Algorithms and Data Structures
- Implicit Working-Set Dictionaries
- Skyline Queries
- Catenable Priority Queues with Attrition
- Conclusion



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Previous and Our Results

| Authors | Find-Min | Delete-Min | Insert-And-Attrite | Catenate-And-Attrite | Model |
|---------|----------|-------------------|--------------------|----------------------|-------|
| Sun89 | O(1) | O(1) | O(1) | | PM |
| Sun89 | O(1) | O(1) | O(1) | | EM |
| KTTTY13 | O(1) | O(1) | O(1) | O(1) | PM |
| KTTTY13 | O(1/B) | O(1/B) | O(1/B) | O(1/B) | EM |



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Previous and Our Results

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- In External Memory our O(1/B) I/O bounds assume that for k
 PQAs we keep O(1) blocks in memory for each PQA
- Hence we require that $M = \Omega(kB)$ when maintaining k PQAs
- We can concatenate an arbitrary number of PQAs into one in O(1)
 I/Os if we maintain an extra invariant



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Concatenable PQA: Data Structure











Concatenable PQA: Data Structure

- A PQA consists of 2+k_Q deques C, B, D₁, ..., D_{k_Q} of records and buffers F and L
- A record r=(l,p) contains a buffer l of [b,4b] elements and a pointer p to a PQA, if p is nil then r is simple
- A PQA is a tree of unbounded degree with PQAs as internal and leaf nodes











Concatenable PQA: Data Structure

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 $\begin{aligned} \max(C(Q)) < \min(B(Q)) < \min(D_1(Q)) < \min(D_i(Q)), & \text{for } i > 1 \\ C(Q) \text{ and } B(Q) & \text{are simple} \\ \max(F(Q)) < \min(C(Q)) & \min(D_1(Q)) < \min(L(Q)) \end{aligned} \qquad \begin{aligned} |C(Q)| \ge \sum_{i=1}^{k_Q} |D_i(Q)| + k_Q \end{aligned}$

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DeleteMin









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<u>Bias</u>

B>0

B=0 and $k_Q>1$

B=0 and $k_Q=1$





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B=0 and $k_Q>1$ B=0 and $k_Q=1$







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B=0 and $k_Q>1$

B=0 and k_Q=1











 $\begin{aligned} \max\left(C(Q)\right) &< \min\left(B(Q)\right) < \min\left(D_1(Q)\right) < \min\left(D_i(Q)\right), \text{ for } i > 1\\ C(Q) \text{ and } B(Q) \text{ are simple} \\ \max\left(F(Q)\right) &< \min(C(Q)) \quad \min(D_1(Q)) < \min(L(Q)) \end{aligned} \qquad \begin{aligned} |C(Q)| &\geq \sum_{i=1}^{k_{\mathcal{Q}}} |D_i(Q)| + k_{\mathcal{Q}} \end{aligned}$

<u>Bias</u>

B>0

B=0 and $k_Q>1$

B=0 and $k_Q=1$



Outline

- Introduction to Algorithms and Data Structures
- Implicit Working-Set Dictionaries
- Skyline Queries
- Catenable Priority Queues with Attrition
- Conclusion







Conclusion







Conclusion

- We have seen how invariants are formed and used in dynamic data structures to give the three data structures:
 - Implicit Cache-Oblivious Working-Set Dictionaries
 - 2D Skyline Data Structures in External Memory
 - Catenable Priority Queues with Attrition in External Memory
- Open problems:
 - Can we change the insert(e) operation of the working set dictionary so that e gets a working set value of n instead of 0?
 - In what other problems does *attrition* occur as a subproblem?
 - Can the PQA be modified to solve other skyline related problems like Top-k Domination and variants?

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• Using PQAs for High-dimensional Skyline Structures?





Thank you :)

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- **3)** I/O-Efficient Planar Range Skyline and Attrition Priority Queues
 - Casper Kejlberg-Rasmussen, Yufei Tao, Konstantinos Tsakalidis, Kostas Tsichlas, Jeonghun Yoon
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Extra Slides

















- Uses O(1) FG dictionaries as black boxes
- Recall the FG interface:
 - Insert-right(e): insert element e into the dictionary which grows to the right
 - Delete-right(e): delete element e from the dictionary which shrinks from the right
 - Search(e): finds the address of e if e is in the dictionary
 - Predecessor(e): finds the address of the predecessor of e
 - Successor(e): finds the address of the successor of e



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- L and R will shrink and grow over time
 - L/R might get too small or
 - L/R might get too large compared to C
- We introduce the notion of *jobs*
 - Grow-left/right Counters when L/R gets too small
 - Shrink-left/right Counters when L/R gets too large
 - Jobs run O(1) steps every operation: searches, updates



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L and R

We intro

Summary of Invariants

- L/R We ensure that both L and R are not too small/large
- L/R
 We have queued at most 2 jobs
 - All jobs finish before their deadline
- Grow-left/right Counters when L/R gets too small
- Shrink-left/right Counters when L/R gets too large
- Jobs run O(1) steps every operation: searches, updates



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Grow-left





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Grow-left





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Implicit Moveable Dictionaries





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