# PRECISE REASONING ABOUT RESOURCES IN AN AFFINE SEPARATION LOGIC

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# A PRIMER ON SEPARATION LOGIC

 $\{P\} e \{v.Q\} \qquad \underbrace{\{P\}}_{assertion} e \{\underbrace{v.Q}_{assertion}\}$ specification/Hoare triple

- An extension of Hoare logic particularly well suited for verification of heap manipulating programs.
- Now extended to richer languages (concurrency, higher-order functions, general references, ...).
- Enables concise and modular specifications via the **separating conjunction** \*.

Primitive points-to assertion:

 $\ell \hookrightarrow V$ 

describes the singleton heap fragment with location  $\ell$ .

- The assertion P \* Q describes those heap fragments hwhich can be decomposed as  $h = h_1 \cdot h_2$  with  $h_1 \in P$  and  $h_2 \in Q$ .
- For example

$$\ell_1 \hookrightarrow 1 * \ell_2 \hookrightarrow 2$$

describes heap fragments with **two distinct** locations  $\ell_1$  and  $\ell_2$ .

#### Specifications

- Specifications describe properties of programs.
- The meaning of {P} e {v.Q} is approximately
  - if e is run in a state satisfying P
  - and it terminates with value v
  - then the end state satisfies Q(v).
- The key structural rule is the **frame rule**:

 $\frac{\{P\} e \{v.Q\}}{\{P * R\} e \{v.Q * R\}}$ 

• This rule is the key to **small footprint** specifications.

HOARE-CSO  $\vdash P_1 \Rightarrow P_2 \qquad \{P_2\} e \{v.Q_2\} \qquad \vdash \forall v, Q_2(v) \Rightarrow Q_1(v)$  $\{P_1\} \in \{v, Q_1\}$ 

HOARE-SEQ  $\{P\} e_1 \{\_,Q\}$   $\{Q\} e_2 \{v,R\}$  $\{P\} e_1; e_2 \{v.R\}$ 

 $\{\ell \hookrightarrow \mathsf{V}\} \ ! \ \ell \ \{u.u = \mathsf{V} \land \ell \hookrightarrow \mathsf{V}\} \qquad \{\ell \hookrightarrow \mathsf{V}\} \ \ell \leftarrow u \ \{\_.\ell \hookrightarrow u\}$ 

 $\{\ell \hookrightarrow v\}$  free $(\ell)$   $\{\_.Emp\}$ 

HOARE-LOAD

{Emp} ref(v) { $\ell . \ell \hookrightarrow v$ }

HOARE-ALLOC

HOARE-FREE

HOARE-STORE

#### THE ASSERTION LOGIC

The assertion logic has all the usual logical connectives,  $\forall, \exists, \land, \lor, \ldots$  , and additionally:

- separating conjuction \*
- $\cdot$  the Emp assertion (unit for the separating conjunction).

These satisfy (in particular) the following axioms:

$$P * \text{Emp} \dashv P$$
  $P * Q \dashv Q * P$   $P * (Q * R) \dashv (P * Q) * R$ 

 $P * (Q \lor R) \dashv P * Q \lor P * R$ 

. . .

#### The weakening axiom

A separation logic is **affine** if for all assertions *P*, *Q* we have

 $P * Q \vdash P$ .

One can think of "forgetting" the resource Q, e.g.,

$$\ell_1 \hookrightarrow 1 \ast \ell_2 \hookrightarrow 2 \vdash \ell_1 \hookrightarrow 1$$

can be seen as forgetting that the heap contains location  $\ell_2$ .

• Weakening allows us to "leak resources", i.e., we can prove specifications such as

 $\{\ell \hookrightarrow 1\}$  skip  $\{\_.Emp\}$ 

by using

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\ell \hookrightarrow 1 \vdash \text{Emp} * \ell \hookrightarrow 1 \vdash \text{Emp}.
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• As a consequence the triple

 ${Emp} e {\_.Emp}$ 

cannot guarantee that the program does not leak memory, e.g.,

{Emp} ref(3); skip {\_.Emp}

• It is sometimes useful to have weakening when we do not want to reason about resources precisely.

Originally two variants of separation logic.

- Affine ("intuitionistic") for reasoning about garbage collected languages.
- Linear ("classical") for reasoning about languages with explicit memory reclamation.
- In a linear separation logic

 ${Emp} e {\_.Emp}$ 

typically guarantees that all allocated memory is freed before the program terminates.

- Recent concurrent separation logics for reasoning about fork-style concurrency **are all affine**.
- The main reason is it is unclear how to have general enough **sharing mechanisms** in a linear logic.
- Existing sharing mechanisms can leak resources.

- We show how to ensure memory reclamation in an affine separation logic.
- The solution extends to ensuring that a program which delegates memory reclamation to a background thread does not leak memory.

# Two models, and a third

## The model $\mathcal{M}_1$ : assertion logic

• Assertions are modelled as arbitrary subsets of heap fragments,

 $[\![P]\!]\in\mathcal{P}(\mathcal{H})$ 

• The points-to assertion denotes the singleton set.

 $\llbracket \ell \hookrightarrow v \rrbracket = \{h \mid \operatorname{dom}(h) = \{\ell\} \text{ and } h(\ell) = v\}$ 

• The Emp assertion contains only the empty heap fragment.

 $\llbracket \mathsf{Emp} \rrbracket = \{h \mid \mathrm{dom}(h) = \emptyset\}.$ 

• Separating conjunction combines the heap fragments.

 $\llbracket P * Q \rrbracket = \{h \mid \exists h_1 \in \llbracket P \rrbracket, h_2 \in \llbracket Q \rrbracket, h = h_1 \cdot h_2\}$ 

• This model does not validate weakening since

 $\llbracket \ell \hookrightarrow 1 \rrbracket \not\subseteq \llbracket \mathsf{Emp} \rrbracket.$ 

The meaning of the specification  $\{P\}\,e\,\{v.Q\}$  is approximately

- for any heap fragment  $h \in \llbracket P \rrbracket$  and any disjoint heap fragment h'
- running e in the heap  $h \cdot h'$  is safe and
- if the program terminates with value v and heap  $h_1$  then  $h_1 = h'_1 \cdot h'$  for some  $h'_1 \in \llbracket Q(v) \rrbracket$ .

Thus the meaning of the specification

 ${Emp}e{\_.Emp}$ 

is that if the program starts in heap h' then

- $\cdot$  it does not fault (is safe) and
- $\cdot$  if it terminates the end heap is h'.

## Properties of $\mathcal{M}_1$

This variant of the logic is very good for reasoning about a language with explicit memory management.

But it is sometimes too precise

- for a garbage collected language
- or when we don't care about specifying memory management, but only care about functional correctness
- $\cdot$  or when there are other resources (e.g., ghost state).

In a garbage collected language we would like

 $\{\ell \hookrightarrow v\} \text{ skip } \{\_.Emp\}$ 

so we can "forget" ownership of locations.

## The model $\mathcal{M}_2$ : assertion logic

 Assertions are modelled as upwards closed subsets of heap fragments,

 $\llbracket P \rrbracket \in \mathcal{P}^{\uparrow}(\mathcal{H})$ 

• Upwards closure is with respect to extension order.

$$h_1 \leq h_2 \iff \exists h_f, h_1 \cdot h_f = h_2.$$

• The points-to assertion now denotes the set of all heaps containing that particular location.

 $\llbracket \ell \hookrightarrow v \rrbracket = \{h \mid \operatorname{dom}(h) \supseteq \{\ell\} \text{ and } h(\ell) = v\}$ 

• The Emp assertion contains not only the empty heap fragment, but all heap fragments.

 $[\![\mathsf{Emp}]\!] = \mathcal{H} = [\![\mathsf{True}]\!]$ 

• Separating conjunction as before.

## The model $\mathcal{M}_2$ : weakening

- This model validates weakening since if  $h \in \llbracket P * Q \rrbracket$  then there exist
  - $h_1 \in \llbracket P \rrbracket$  and
  - $h_2 \in \llbracket Q \rrbracket$  such that
  - $h = h_1 \cdot h_2$ .

Hence  $h_1 \leq h$  and thus  $h \in \llbracket P \rrbracket$ .

- There is no assertion satisfied only by the empty heap fragment  $\varepsilon$ .
- Indeed, any heap fragment h' satisfies  $\varepsilon \leq h'$ .
- Thus if  $\varepsilon \in \llbracket P \rrbracket$  then  $\llbracket P \rrbracket = \mathcal{H}$ .

The meaning of the specification  $\{P\} e \{v.Q\}$  is as before.

- for any heap fragment  $h \in \llbracket P \rrbracket$  and any disjoint heap fragment h'
- running e in the heap  $h \cdot h'$  is safe and
- if the program terminates with value v and heap  $h_1$  then  $h_1 = h'_1 \cdot h'$  for **some**  $h'_1 \in \llbracket Q(v) \rrbracket$ .

However now the specification

 ${Emp}e{\_.Emp}$ 

means simply that if e starts in some heap  $h \cdot h_f$ 

- it does not fault (is safe) and
- if it terminates the end heap is  $h' \cdot h_f$  for some h'

#### The model $\mathcal{M}_3$ : a more precise affine model

- For the simple sequential language there is no need for the extension we are about to describe.
- However it is easiest to understand the extension in this simplified setting.
- The model (and the corresponding logic) we construct is **affine**.
- And it can still be used to guarantee that memory is correctly managed.
- It achieves this with only a modicum of additional bookkeeping.

## The logic of $\mathcal{M}_3$

- The new assertions  $\ell \hookrightarrow_{\pi} v$  and  $\mathfrak{e}_{\pi}$  satisfy: EMP-SPLIT PT-SPLIT  $\mathfrak{e}_{\pi_1} * \mathfrak{e}_{\pi_2} \dashv \mathfrak{e}_{\pi_1 + \pi_2} \qquad \ell \hookrightarrow_{\pi_1} v * \mathfrak{e}_{\pi_2} \dashv \mathfrak{e} \hookrightarrow_{\pi_1 + \pi_2} v$ PT-DISJ  $\ell_1 \hookrightarrow_{\pi_1} v_1 * \ell_2 \hookrightarrow_{\pi_2} v_2 \vdash \ell_1 \neq \ell_2$
- $\mathfrak{e}_{\pi}$  can be thought of as "permission" to allocate.
- $\cdot$  And the specifications of the basic operations are

HOARE-ALLOCHOARE-FREE $\{\mathfrak{e}_{\pi}\}$  ref(v)  $\{\ell. \ell \hookrightarrow_{\pi} v\}$  $\{\ell \hookrightarrow_{\pi} v\}$  free $(\ell)$   $\{\mathfrak{e}_{\pi}\}$ 

HOARE-LOAD

 $\{\ell \hookrightarrow_{\pi} \mathsf{v}\} \ ! \ \ell \ \{\mathsf{W}. \ \mathsf{W} = \mathsf{v} \land \ell \hookrightarrow_{\pi} \mathsf{v}\}$ 

HOARE-STORE  $\{\ell \hookrightarrow_{\pi} v\} \ell \leftarrow u \{\ell \hookrightarrow_{\pi} u\}$  • The splitting properties EMP-SPLIT and PT-SPLIT allow us to, e.g., derive

 $\{\mathfrak{e}_{\pi}\} (\operatorname{ref}(v_1), \operatorname{ref}(v_2)) \{(\ell_1, \ell_2), \ell_1 \hookrightarrow_{\frac{\pi}{2}} v_1 * \ell_2 \hookrightarrow_{\frac{\pi}{2}} v_2\}$ 

- · The fraction is used to keep track of weakening.
- In this logic the following triple is derivable for any  $\pi$ .

 $\{\ell \hookrightarrow_{\pi} \mathsf{v}\} \operatorname{skip} \{\_.\mathsf{Emp}\}$ 

• However if we forget a points-to like this then **this will be** visible in the specification.

• The following specification is not possible

 $\{\mathbf{e}_{\pi}\} \operatorname{ref}(3); \operatorname{skip} \{\_.\mathbf{e}_{\pi}\}.$ 

 $\cdot$  We can only show

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\{\mathbf{e}_{\pi}\} ref(3); skip \{\_.\mathbf{e}_{\pi'}\}.
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for some (in fact all)  $\pi' < \pi$ .

- However if we free the allocated location then we get back the full  $\mathfrak{e}_{\pi}$ .

$$\{e_{\pi}\}$$
 let  $\ell$  = ref(3) in free( $\ell$ )  $\{\_.e_{\pi}\}$ 

Is derivable.

Let  ${\mathfrak M}$  be the partial commutative monoid with carrier

 $\{\varepsilon\} + (0,1] \times \mathcal{H}.$ 

arepsilon is defined to be the unit, and otherwise the operation is

$$(\pi_1, h_1) \cdot (\pi_2, h_2) = (\pi_1 + \pi_2, h_1 \cdot h_2)$$

if  $\pi_1 + \pi_2 \leq 1$  and  $h_1 \cdot h_2$  are both defined.

 $\cdot$  Assertions are modelled as **upwards closed subsets of**  $\mathfrak{M}$ ,

$$\llbracket P \rrbracket \in \mathcal{P}^{\uparrow}(\mathfrak{M})$$

• Upwards closure is again with respect to **extension order**.

$$m_1 \leq m_2 \iff \exists m_f, m_1 \cdot m_f = m_2.$$

• The points-to assertion is modelled as the up-closure of the set

$$\llbracket \ell \hookrightarrow_{\pi} \mathsf{v} \rrbracket = \uparrow \{ (\pi, h) \mid \operatorname{dom}(h) = \{ \ell \} \text{ and } h(\ell) = \mathsf{v} \}.$$

• Concretely

$$\llbracket \ell \hookrightarrow_1 v \rrbracket = \{(1,h) \mid \operatorname{dom}(h) = \{\ell\} \text{ and } h(\ell) = v\}$$

is the singleton set.

• For  $\pi < 1$  the set  $\llbracket \ell \hookrightarrow_{\pi} v \rrbracket$  also contains pairs  $(\pi', h)$  with  $\pi' > \pi$ , dom $(h) \supseteq \{\ell\}$  and  $h(\ell) = v$ .

- The  $\mathfrak{e}_{\pi}$  assertion is modelled as.

$$\llbracket \mathfrak{e}_{\pi} \rrbracket = \uparrow \{ (\pi, \varepsilon) \}$$

• Concretely

$$\llbracket \mathfrak{e}_1 \rrbracket = \{ (1, \varepsilon) \}$$

• For  $\pi < 1$  the assertion  $[e_{\pi}]$  also contains all pairs  $(\pi', h)$  with  $\pi' > \pi$ .

The Emp and separating conjunction are modelled as in  $\mathcal{M}_2$ .

• The Emp assertion contains all elements of the monoid (this enables weakening).

$$\llbracket \mathsf{Emp} 
rbracket = \mathfrak{M} = \llbracket \mathsf{True} 
rbracket$$

• Separating conjunction combines the elements as before.

 $[\![P * Q]\!] = \{m \mid \exists m_1 \in [\![P]\!], m_2 \in [\![Q]\!], m = m_1 \cdot m_2\}$ 

The meaning of the specification  $\{P\} e \{v.Q\}$  needs to take into account the fractions. It is approximately:

- for any  $(\pi, h) \in \llbracket P \rrbracket$  and any **disjoint** element  $m \in \mathfrak{M}$ . Suppose  $(\pi, h) \cdot m = (\pi', h')$ .
- running e in the heap h' is safe and
- if the program terminates with value v and heap  $h_1$  then there exists  $m' \in \llbracket Q(v) \rrbracket$  and a fraction  $\pi_1$  such that  $m' \cdot m = (\pi_1, h_1).$

In particular from the specification

 $\{\mathfrak{e}_\pi\}\,e\,\{\_.\mathfrak{e}_\pi\}$ 

we can derive that if we run e in heap h then

- e does not fault and
- if it terminates the **end heap is** *h*.

The crucial ingredient in this proof is the fact that the fraction  $\pi$  in the pre- and post-conditions is the same.

- In a linear logic we can reason about resources very precisely.
- Admitting weakening we lose this ability. We can no longer guarantee absence of resources.
- However adding a small amount of annotations to keep track of weakening we regain the ability to reason about resources precisely.

# CONCURRENT SEPARATION LOGIC

- We are interested in reasoning in a language with concurrency.
- In particular fork {} concurrency.
- Now a program is a set of threads running in parallel.
- Threads communicate through shared memory.
- The fork {*e*} construct creates a new thread which executes the program *e*.

let flag = ref (false) in fork {flag  $\leftarrow$  true}; if ! flag then 0 else 1

The result of this program can be either 0 or 1, depending on the scheduler.

- In the logic we want to reason locally.
- In the triple

# {**P**} *e* {**v**.**Q**}

e is a single term.

- This enables modular specifications (we don't need to know how many or what other threads are running).
- Separating conjunction is used to split resources between threads, e.g.,

 $\frac{\{P_1\} e_1 \{\_.Emp\} \qquad \{P_2\} e_2 \{v.Q_2\}}{\{P_1 * P_2\} \text{ fork } \{e_1\}; e_2 \{v.Q_2\}}$ 

#### Sharing

- However simply splitting resources is not enough.
- · Sometimes it is necessary to share resources, e.g., in

let flag = ref (false) in fork {flag  $\leftarrow$  true}; if ! flag then 0 else 1

the location flag is shared.

- This is achieved with invariants.
- These are special assertions P which can be duplicated.
- Their downside is that they can only be used in a restricted way.

• Invariants are duplicable

$$R * R \dashv R$$

• Any assertion can be made into an invariant.

 $\frac{\mathbb{R} \vdash \{P\} e \{w. Q\}}{\{P * R\} e \{w. Q\}}$ 

• The assertion in the invariant can be accessed in a restricted way.

$$\frac{\text{atomic}(e) \quad \{P * R\} e \{w. Q * R\}}{R \vdash \{P\} e \{w. Q\}}$$

### Example

To specify the program

let flag = ref (false) in fork {flag  $\leftarrow$  true}; if ! flag then 0 else 1

We would use the invariant

 $\mathsf{flag} \hookrightarrow \mathsf{true} \lor \mathsf{flag} \hookrightarrow \mathsf{false} \,.$ 

to give the specification

 $\{Emp\} e \{v.v = 0 \lor v = 1\}.$ 

Note that the invariant is needed because flag  $\hookrightarrow b$  cannot be split so that both threads can use the location.

The following derivation is valid.

 $\frac{\ell \hookrightarrow v}{\{\ell \hookrightarrow v\} \in \{\mathsf{Emp}\} \mathsf{skip} \{\_.\mathsf{Emp}\}}$  $\frac{\{\ell \hookrightarrow v\} \mathsf{skip} \{\_.\mathsf{Emp}\}}{\{\ell \hookrightarrow v\} \mathsf{skip} \{\_.\mathsf{Emp}\}}$ 

Hence the following triple is derivable

 $\{\ell \hookrightarrow v\} \text{ skip } \{\_.Emp\}.$ 

#### Observation

None of the existing logics for reasoning about languages with fork can guarantee correct memory management.

# IRON

- Iron is a an extension of the Iris program logic that ensures precise resource management.
- Iris is a state of the art program logic for reasoning about imperative, concurrent, higher-order programs.
- It is an affine logic.
- It supports very general invariants.
- Used for verification of sophisticated fine-grained concurrent algorithms.
- And as a meta-language for studies of type systems, etc.
- But unclear how to manage resources (e.g., memory) precisely.

## Key points

In Iron we can reason about resources precisely using the same idea as in  $\mathcal{M}_3$ .

At the same time **we retain all the reasoning facilities of Iris**, including impredicative and higher-order invariants.

- The key idea is that if we transfer, e.g., a points-to to an invariant we lose a degree of knowledge of it (i.e., lose a fraction of π).
- Thus if we wish to guarantee there are no memory leaks some thread must be in charge of disposing allocated memory.

In Iron the meaning of the specification

 $\{\mathbf{e}_{\pi}\} e \{\_.\mathbf{e}_{\pi}\}$ 

is approximately: if e starts in heap h then

- it is safe and
- if **all the threads** (that *e* spawns) **have terminated**, then the resulting heap is *h*.

The following example program can be given the specification

 $\{\mathfrak{e}_\pi\}\dots\{\_.\mathfrak{e}_\pi\}$ 

fork {receive()}; let data = ref(57) in channel  $\leftarrow$  Some data A MORE ABSTRACT VIEW

- The Iron logic is expressive.
- And can be used to verify many intricate examples, guaranteeing correct resource management.
- However the fraction accounting can be tedious.
- However for a lot of examples it can be abstracted away in a uniform way.
- The key idea is that if  $\mathcal{B}$  is a model of the assertion logic then the set of all functions  $[0,1] \rightarrow \mathcal{B}$  is also a model of the assertion logic.

### LIFTING THE LOGICAL CONNECTIVES

 $\cdot\,$  Standard propositional connectives lift pointwise, e.g.,

$$(P \widehat{\Rightarrow} Q)(\pi) = P(\pi) \Rightarrow Q(\pi).$$

• Separating conjunction also splits the fractions.

$$(P \mathbin{\widehat{*}} Q)(\pi) = \bigvee_{\pi_1 + \pi_2 = \pi} P(\pi_1) \ast Q(\pi_2).$$

• The points-to connective can be lifted (almost) pointwise.

$$(\ell \widehat{\hookrightarrow} v)(\pi) = egin{cases} {\sf False} & ext{if } \pi = 0 \ \ell \hookrightarrow_\pi v & ext{otherwise} \end{cases}$$

• The Emp assertion guarantees that the fraction is 0.

$$\widehat{\mathsf{Emp}}(\pi) = egin{cases} \mathsf{Emp} & ext{if } \pi = 0 \ \mathsf{False} & ext{otherwise} \end{cases}$$

The Hoare triples can also be lifted "pointwise".

$$\{P\} e \{v.Q\} = \bigwedge_{\pi} \{P(\pi)\} e \{v.Q(\pi)\}.$$

#### Theorem

Applying this construction to  $\mathcal{M}_3$  we recover all the rules and guarantees of  $\mathcal{M}_1$ .

Moreover there is a large class of invariants which can be used in the lifted logic.

Thus most of the examples in Iron can be done in the lifted logic, without any fraction accounting.

# Formalization in Coq

```
Theorem channel example :
  {{{ emp }}} prog #() {{{ v, RET v; emp }}}.
Proof.
  iIntros (\Phi) "_ H\Phi". wp_lam. wp_alloc l as "Hl"; wp_let.
  iMod (fcinv_alloc_strong _ N) as (y) "[Hy Halloc]".
  iEval (rewrite -Op_three_quarter_quarter) in "HY"; iDestruct "HY" as "[HY HY']".
  iMod ("Halloc" $! (transfer inv2 v l) with "[Hl]") as "[Hvc #?]".
  { iExists NONEV: eauto with iFrame. }
 wp_apply (iron_wp_fork with "[HV H\Phi]").
  - iIntros "!>". do 2 wp lam. wp alloc k as "Hk". wp let.
    iMod (fcinv_open_strong _ N with "[$] [$]") as "(Hinv & Hy & Hclose)"; first done.
    iDestruct "Hinv" as (v) ">[Hl [->|Hinv]]".
    + wp store, iApply "HQ", iApply "Hclose".
      iLeft. iExists (SOMEV (#k)). auto 10 with iFrame.
    + iDestruct "Hinv" as (k') "(_&?&Hγ')".
      by iDestruct (fcinv_own_valid with "Hy Hy'") as %[].
  - iLöb as "IH"; wp_rec. wp_bind (! _)%E.
    iMod (fcinv_open_strong _ N with "[$] [$]") as "(Hinv & Hy & Hclose)"; first done.
    iDestruct "Hinv" as (v) ">[H1 [->|Hinv]]".
    + wp_load. iMod ("Hclose" with "[H1]").
     { iLeft. iExists NONEV. eauto 10 with iFrame. }
      iModIntro. wp match. iApplv ("IH" with "[$] [$]").
    + wp_load. iDestruct "Hinv" as (k') "(->&Hk'&HV1) /=".
      iMod ("Hclose" with "[Hyc Hy1 Hy]") as "_".
      { iRight. iEval (rewrite -{1}0p_three_guarter_guarter). iFrame. }
      iModIntro. wp_match. wp_apply (iron_wp_free with "[$]"); iIntros "_".
      wp_seq. wp_apply (iron_wp_free with "[$]"); auto.
Oed.
```

# CONCLUSION

- We showed how to reason about resources precisely in presence of the weakening rule.
- The Iron logic is the first which is able to guarantee absence of memory leaks in presence of fork {}.
- The idea of annotating assertions with fractions can also be applied to other resources.
- For instance it can be used to guarantee correct lock management, i.e., that they are released.
- For details see

Iron: Managing Obligations in Higher-Order Concurrent Separation Logic https://iris-project.org/pdfs/2018-iron.pdf