
A Realizability Model for Impredicative Hoare Type Theory

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Dependent type theory

- ◆ Type theory is a program logic:
 - types can express and enforce precise program properties
- ◆ Doubles up as a programming language.
- ◆ Prototypical higher-order language (e.g, polymorphism, inductive/recursive types, etc.)
- ◆ Problem: must be purely functional
 - recursion allowed, if you prove termination
 - effects like state, IO, etc., usually second class

Hoare Logic

- ◆ Logic for imperative programs.
- ◆ Specifies partial correctness via Hoare triple $\{P\} E \{Q\}$:
 - if P holds, then E diverges or terminates in a state Q
 - P : precondition
 - Q : postcondition
- ◆ Usually targets first-order languages
 - but recent advances in the higher-order case
- ◆ Reasoning about state and aliasing very streamlined
 - Separation Logic by O'Hearn, Pym, Reynolds, Yang...

Type theory for imp. programs

- ◆ We integrate Hoare Logic into a Type Theory.
- ◆ Benefits:
 - types can enforce correct use of effectful programs
 - add effects to type theory
 - preserves equational reasoning about pure programs
- ◆ Idea: follow specifications-as-types principle
 - Type of Hoare triples $\{P\}x : \tau\{Q\}$
 - precondition P , postcondition Q , return result of type τ .
 - Dependencies allow P and Q to talk about program data.
- ◆ In this talk: a model for Impredicative Hoare Type Theory (HTT)
 - for reasoning about state and aliasing

Impredicative HTT

- ◆ Full Higher-order Dependent Type Theory:
 - $\Gamma \vdash \tau : \text{Type}$
 - $\Gamma \vdash A : \text{Kind}$
 - Impredicative: Type is a kind
- ◆ with Dependent Predicate Logic on top:
 - $\Gamma \vdash P : \text{Prop}$
 - $\Gamma \mid P_1, \dots, P_n \vdash P$
 - Higher-order: Prop is a kind.

Impred. HTT: new features, I

- ◆ Hoare Type for computations:
 - $\Gamma \vdash \{P\} x : \tau \{Q\} : \text{Type}$
 - computations can operate on the heap
 - computations can diverge (fixed-point term)
- ◆ Challenges:
 - combining effects with type theory
 - types must be modelled as some kind of domains

Impred. HTT: new features, II

◆ Classical Separation Logic of heaps:

- $\Gamma \vdash emp : Prop$
- $\Gamma \vdash P * Q : Prop$
- $\Gamma \vdash P \multimap Q : Prop$
- Frame rule for local reasoning:

$$\frac{\Gamma \vdash M : (\Delta).\{P\}x : \tau\{Q\} \quad \Gamma, \Delta \vdash R : Prop}{\Gamma \vdash M : (\Delta).\{P * R\}x : \tau\{Q * R\}} \textit{frame}$$

◆ Challenges:

- Impredicative type theory + classical logic means that consistency of the system is non-trivial
- Extending separation logic to work over dependent type theory

Example, Mutable ADT, I

stacktype =

$\Pi\alpha : \text{Type}.\Sigma\beta : \text{Type}.\Sigma\text{inv} : \beta \times \alpha \text{ list} \rightarrow \text{Prop}.$

*/ * new * /* $(-).\{\text{emp}\}s : \beta\{\text{inv}(s, [])\} \times$

*/ * push * /* $\Pi s : \beta.\Pi x : \alpha.$

$(l : \alpha \text{ list}).\{\text{inv}(s, l)\}u : 1\{\text{inv}(s, x :: l)\} \times$

*/ * pop * /* $\Pi s : \beta.$

$(x : \alpha, l : \alpha \text{ list}).$

$\{\text{inv}(s, x :: l)\}y : \alpha\{\text{inv}(s, l) \wedge y =_{\alpha} x\} \times$

*/ * del * /* $\Pi s : \beta.$

$(l : \alpha \text{ list}).\{\text{inv}(s, l)\}u : 1\{\text{emp}\}$



Example, Mutable ADT, II

Client C :

$C = \lambda S : \text{stacktype}.$

do $S_{\text{Nat}} \leftarrow \text{ret } S(\text{Nat})$ in

unpack S_{Nat} as $(\beta, \text{inv}, \text{new}, \text{push}, \text{pop}, \text{del})$ in

do $s \leftarrow \text{new}$ in

do $s_4 \leftarrow \text{push}(s)(4)$ in

do $n_4 \leftarrow \text{pop}(s_4)$ in

$\text{del}(s_4); \text{ret } n_4$

C has type $\Pi S : \text{stacktype} . (-) . \{ \text{emp} \} n : \text{Nat} \{ \text{emp} \wedge n =_{\text{Nat}} 4 \} .$

Example: recursion

$fac : T = \Pi n : \text{Nat} . (-) . \{ \text{emp} \} m : \text{Nat} \{ \text{emp} \wedge m =_{\text{Nat}} n! \} :$

$fac = \text{fix } f(n) \text{ in case } n \text{ of}$

$\text{zero} \Rightarrow \text{ret } 1 \text{ or}$

$\text{succ } y \Rightarrow \text{do } m \leftarrow f(y) \text{ in ret } m \times \text{succ } y$

Summary of Challenges

- ◆ Combining effects with dependent type theory
- ◆ Types must be a kind of domains, to model non-termination and fixed points
- ◆ Impredicative type theory + classical logic means that consistency of the system is non-trivial
- ◆ Extending separation logic to work over dependent type theory

Grammar, I

Types $\tau, \sigma, \rho ::= \text{Nat} \mid 1 \mid \Pi^T x : A. \tau \mid \Sigma^T x : A. \tau \mid$
 $(\Gamma). \{P\} x : \tau \{P\}$

Kinds $A, B ::= \tau \mid \text{Type} \mid \text{Prop} \mid \Pi^K x : A. A \mid \Sigma^K x : A. A$

Prop's $P, Q, R ::= \top \mid \perp \mid M =_A M \mid P \wedge P \mid P \vee P \mid$
 $P \supset P \mid \neg P \mid \forall x : A. P \mid \exists x : A. P \mid$
 $\text{emp} \mid M \mapsto_\tau M \mid P * P \mid P \multimap P$

Grammar, II

Terms $M, N ::=$ x | **zero** | **succ** M | **rec**_{Nat}(M, M) | $()$ |
 $\lambda^K x : A.M$ | $\lambda^T x : A.M$ | $M M$ |
 $(M, M)^K$ | $(M, M)^T$ | **fst** M |
snd M | **unpack** M as (x, y) in M
case M of **zero** $\Rightarrow M$ or **succ** $x \Rightarrow M$
fix $f(x)$ in M | **ret** M |
 $!_\tau M$ | $M :=_\tau M$ | **do** $x \leftarrow M$ in M |
alloc _{τ} M | **dealloc** M

Pure Part

- ◆ standard kinds and types (weak sums of types over kinds)
- ◆ classical predicate logic + separation logic
- ◆ standard terms
- ◆ external equality rules also standard, include β and η

Some Computation Terms

$$\frac{\Gamma, \Delta \vdash \tau : \text{Type} \quad \Gamma \vdash M : \tau}{\Gamma \vdash \text{ret } M : (\Delta).\{\text{emp}\}x : \tau\{\text{emp} \wedge x =_{\tau} M\}} \text{dia}$$

$$\frac{\Gamma \vdash M : (\Delta).\{P\}y : \sigma\{S\} \quad \Gamma, \Delta, x : \tau \vdash Q : \text{Prop} \quad \Gamma, y : \sigma \vdash N : (\Delta).\{S\}x : \tau\{Q\}}{\Gamma \vdash \text{do } y \leftarrow M \text{ in } N : (\Delta).\{P\}x : \tau\{Q\}} \text{seq}$$

$$\frac{\Gamma \vdash \tau : \text{Type} \quad \Gamma \vdash M : \text{Nat}}{\Gamma \vdash !_{\tau} M : (y : \tau).\{M \mapsto_{\tau} y\}x : \tau\{M \mapsto_{\tau} y \wedge x =_{\tau} y\}} \text{lookup}$$

$$\frac{\Gamma, f : \Pi^T y : A.(\Delta).\{P\}x : \tau\{Q\}, y : A \vdash M : (\Delta).\{P\}x : \tau\{Q\}}{\Gamma \vdash \text{fix } f(x) \text{ in } M : \Pi^T y : A.(\Delta).\{P\}x : \tau\{Q\}} \text{fix}$$



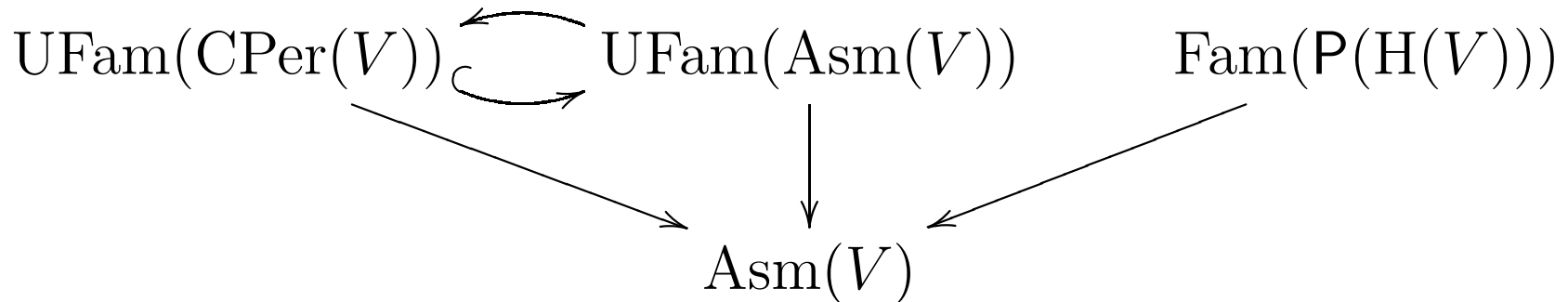
Selected Structural Rules

$$\frac{\Gamma \vdash M : (\Delta).\{R\}x : \tau\{S\} \quad \Gamma, \Delta \vdash P \supset R \quad \Gamma, \Delta \vdash S \supset Q}{\Gamma \vdash M : (\Delta).\{P\}x : \tau\{Q\}} \textit{consequent}$$

$$\frac{\Gamma \vdash M : (\Delta).\{P\}x : \tau\{Q\} \quad \Gamma, \Delta \vdash R : \text{Prop}}{\Gamma \vdash M : (\Delta).\{P * R\}x : \tau\{Q * R\}} \textit{frame}$$



Model — Overview, I



- ◆ A Realizability Model over universal domain V
- ◆ $\text{H}(V)$ is the domain of heaps
- ◆ Computations will be realized by continuous functions in $\text{T}(V) = \text{H}(V) \rightarrow V \otimes \text{H}(V)_{\perp} \oplus \mathbb{E}$.
- ◆ Note: $\text{Asm}(V)$ aka ω -sets.

Model — Kinds

◆ Definition of $\text{Asm}(V)$:

- objects: (X, E) , where X is a set, and $E : X \rightarrow \mathcal{P}(V)$, such that for all $x \in X$, $E(x) \neq \emptyset$
- morphisms $f : (X, E) \rightarrow (X', E')$, where $f : X \rightarrow X'$ is a set-theoretic function, such that there exists a realizer α for it, i.e

$$\exists \alpha : V \rightarrow V. \forall x \in X. \forall d \in E(x). \alpha(d) \in E(f(x)).$$

- ◆ An extension of Set : full and faithful functor
 $\nabla : \text{Set} \rightarrow \text{Asm}(V)$

Model — Types

- ◆ Definition of $\text{CPer}(V)$:
 - objects: **chain-complete partial equivalence** relations over V
 - morphisms: equivalence classes of equivalence-preserving continuous functions
- ◆ Intuition: predomains (complete partial orders)

Model - DTT

- ◆ dependency means that we index complete pers and assemblies over assemblies to get fibred categories

Soundness of Pure Part

Lemma 1. *The fibred inclusion of $\text{UFam}(\text{CPer}(V))$ into $\text{UFam}(\text{Asm}(V))$ has a fibred left adjoint.*

Theorem 2. *The categories and functors in the diagram above constitute a split weak FhoDTT with a fibred natural numbers object in $\text{UFam}(\text{CPer}(V))$, which is also a fibred natural numbers object in $\text{UFam}(\text{Asm}(V))$.*

Corollary 3. *The pure type and kind fragment (excluding computation types) of HTT is sound wrt. the interpretation in the above FhoDTT.*

Model — Logic, I

- ◆ how to model predicates $\Gamma \vdash P : \text{Prop} ?$
- ◆ recall that a context Γ is interpreted as an assembly (X, E)
- ◆ then P will be interpreted as a function $X \rightarrow \mathcal{P}(\mathcal{H}(V))$
- ◆ entailment $\Gamma \mid P \vdash Q$ modelled by subset inclusion:

$$P \leq Q \text{ iff for all } x \in X. P(x) \subseteq Q(x).$$

Model — Logic, II

- ◆ Classical logic (subsets, ordered by inclusion)
- ◆ Separation logic connectives in the standard way, e.g.:
 - $\llbracket \text{emp} : \text{Prop} \rrbracket = \emptyset$
 - $\llbracket \Gamma \vdash P * Q : \text{Prop} \rrbracket =$

$$\{h \mid \exists h_1 \in \llbracket \Gamma \vdash P : \text{Prop} \rrbracket_x^{\text{Props}}, h_2 \in \llbracket \Gamma \vdash Q : \text{Prop} \rrbracket_x^{\text{Props}}. \\ h = h_1 * h_2\}$$

Model — Logic, III

Theorem 4. *The fibration*

$$\begin{array}{c} \text{Fam}(\mathbf{P}(\mathbf{H}(V))) \\ \downarrow \\ \text{Asm}(V) \end{array}$$

is a BI-hyperdoctrine [Biering, Birkedal, Torp-Smith: 2005] with quantification along all maps in the base category.

Corollary 5. *The interpretation of the logic in the above BI-hyperdoctrine is sound.*

Computations, I

Intuition:

- ◆ $(\Delta).\{P\}x : \tau\{Q\}$ is modeled as an admissible per of realizers in $\mathbb{T}(V) = \mathbb{H}(V) \rightarrow V \otimes \mathbb{H}(V)_\perp \oplus \mathbb{E}$
- ◆ that given a heap in $\llbracket P \rrbracket$, does not produce error, and if it terminates, yields a value v and a heap h satisfying $h \in \llbracket Q \rrbracket(v)$.
- ◆ We “bake-in” the frame rule, essentially by interpreting $(\Delta).\{P\}x : \tau\{Q\}$ as $\forall R \in \text{Prop}.\{P * R\}x : \tau\{Q * R\}$.
- ◆ We take the admissible closure of the postcondition to guarantee admissibility (as needed for fixed points), so $(\Delta).\{P\}x : \tau\{Q\}$ is interpreted as $\forall R \in \text{Prop}.\{P * R\}x : \tau\{\overline{Q} * \overline{R}\}$.

Computations, II

- ◆ Assume $\llbracket \Gamma \rrbracket^{\text{Ctxs}} = (X, E)$.
- ◆ Assume $\llbracket \Gamma, \Delta \rrbracket^{\text{Ctxs}} = (\Sigma_{x \in X} Y_x, F)$.
- ◆ Then $\llbracket \Gamma \vdash (\Delta). \{P\} x : \tau \{Q\} : \text{Type} \rrbracket^{\text{Types}}$ is the family of pers $(S_x)_{x \in X}$ with fields given by $d \in |S_x|$ iff $d = \text{in}_T(f)$ and

$$\forall y \in Y_x. \forall E \in \text{Prop}_{\Gamma, \Delta}. \forall h \in \llbracket \Gamma, \Delta \vdash (P * E) \rrbracket_{(x, y)}^{\text{Props}}.$$
$$(f(h) \neq \text{err}) \wedge \left(f(h) = (v_f, h_f) \Rightarrow \right.$$
$$v_f \in \llbracket \Gamma, \Delta \vdash \tau : \text{Type} \rrbracket_{(x, y)}^{\text{Types}} \wedge$$
$$\left. h_f \in \llbracket \Gamma, \Delta, x : \tau \vdash (Q * E) \rrbracket_{(x, y, v_f)}^{\text{Props}} \right)$$

Computations, III

- ◆ actual per is given by $in_T(f) S_x in_T(g)$ iff $in_T(f), in_T(g) \in |S_x|$ and

$$\forall y \in Y_x. \forall E \in \text{Prop}_{\Gamma, \Delta}. \forall h, h' \in \llbracket \Gamma, \Delta \vdash (P * E) \rrbracket_{(x,y)}^{\text{Props}}.$$
$$h \stackrel{\downarrow}{=} h' \Rightarrow$$

$$f(h) \downarrow \Leftrightarrow g(h') \downarrow \wedge \left(f(h) = (v_f, h_f) \wedge g(h') = (v_g, h_g) \Rightarrow \right.$$
$$\left. v_f \llbracket \Gamma, \Delta \vdash \tau : \text{Type} \rrbracket_{(x,y)}^{\text{Types}} v_g \wedge h_f \stackrel{\downarrow}{=} h_g \right)$$

- ◆ Note: on two heaps with equal support, the resulting heaps should have equal support. Means that allocation can be modelled by taking the least unallocated address.

Computation terms — example:

- ◆ Assume $\llbracket \Gamma \rrbracket^{\text{Ctxs}} = (X, E)$
- ◆ Assume $\llbracket M \rrbracket$ is realized by α
- ◆ Then

$$\begin{aligned} & \llbracket \Gamma \vdash!_{\tau} M : (y : \tau). \{M \mapsto_{\tau} y\} x : \tau \{M \mapsto_{\tau} y \wedge x =_{\tau} y\} \rrbracket^{\text{Terms}} \\ & = \lambda e. \lambda h. \text{if } h(m(e)) = \perp \text{ then err else } (h(m(e)), h) \end{aligned}$$

Soundness

Theorem 6. *The interpretation of computations is well-defined.*

Theorem 7. *Impredicative HTT is consistent and well-specified programs do not go wrong.*

Implementation in Coq

- ◆ We have a prototype implementation of HTT in Coq.
- ◆ The stack example and other examples, including hash tables, have been verified.

Thank You

Judgements

$\Gamma \vdash A : \text{Kind}$ $\Gamma \vdash A = A : \text{Kind}$

$\Gamma \vdash \tau : \text{Type}$

$\Gamma \vdash P : \text{Prop}$

$\Gamma \vdash M : A$ $\Gamma \vdash M = M : A$

$\Gamma \mid \Theta \vdash P$

Kinds

$$\frac{\Gamma \vdash \tau : \text{Type}}{\Gamma \vdash \tau : \text{Kind}} \text{ ext}$$

$$\frac{}{\emptyset \vdash \text{Type} : \text{Kind}} \text{ Type} \quad \frac{}{\emptyset \vdash \text{Prop} : \text{Kind}} \text{ Prop}$$

$$\frac{\Gamma, x : A \vdash B : \text{Kind}}{\Gamma \vdash \Pi^K x : A. B : \text{Kind}} \Pi K \quad \frac{\Gamma, x : A \vdash B : \text{Kind}}{\Gamma \vdash \Sigma^K x : A. B : \text{Kind}} \Sigma K$$

Types

$$\frac{}{\emptyset \vdash \text{Nat} : \text{Type}} \text{Nat} \quad \frac{}{\emptyset \vdash 1 : \text{Type}} 1$$

$$\frac{\Gamma, x : A \vdash \tau : \text{Type}}{\Gamma \vdash \Pi^T x : A. \tau : \text{Type}} \Pi T \quad \frac{\Gamma, x : A \vdash \tau : \text{Type}}{\Gamma \vdash \Sigma^T x : A. \tau : \text{Type}} \Sigma T$$

$$\frac{\Gamma, \Delta \vdash \tau : \text{Type} \quad \Gamma, \Delta \vdash P : \text{Prop} \quad \Gamma, \Delta, x : \tau \vdash Q : \text{Prop}}{\Gamma \vdash (\Delta). \{P\} x : \tau \{Q\} : \text{Type}} \text{spec}$$

Note: weak sums

Logic

- ◆ Rules for $\Gamma \vdash P$: Prop standard + separation logic connectives.
- ◆ Rules for logical entailment $\Gamma \mid \Theta \vdash P$ standard classical predicate logic + separation logic rules, e.g.:

$$\frac{\Gamma \mid \Theta_1 \vdash P \quad \Gamma \mid \Theta_2 \vdash Q}{\Gamma \mid \Theta_1 * \Theta_2 \vdash P * Q}$$

$$\frac{\Gamma \mid \Theta * P \vdash Q}{\Gamma \mid \Theta \vdash P \multimap Q}$$

Pure Terms

- ◆ standard term formation rules
- ◆ external equality rules also standard, include β and η

Computation Terms, I

$$\frac{\Gamma, \Delta \vdash \tau : \text{Type} \quad \Gamma \vdash M : \tau}{\Gamma \vdash \text{ret } M : (\Delta).\{\text{emp}\}x : \tau\{\text{emp} \wedge x =_{\tau} M\}} \textit{dia}$$

$$\frac{\Gamma \vdash M : (\Delta).\{P\}y : \sigma\{S\} \quad \Gamma, \Delta, x : \tau \vdash Q : \text{Prop} \quad \Gamma, y : \sigma \vdash N : (\Delta).\{S\}x : \tau\{Q\}}{\Gamma \vdash \text{do } y \leftarrow M \text{ in } N : (\Delta).\{P\}x : \tau\{Q\}} \textit{seq}$$

$$\frac{\Gamma \vdash \tau : \text{Type} \quad \Gamma \vdash M : \text{Nat}}{\Gamma \vdash !_{\tau} M : (y : \tau).\{M \mapsto_{\tau} y\}x : \tau\{M \mapsto_{\tau} y \wedge x =_{\tau} y\}} \textit{lookup}$$

$$\frac{\Gamma \vdash \tau : \text{Type} \quad \Gamma \vdash M : \text{Nat} \quad \Gamma \vdash N : \tau}{\Gamma \vdash M :=_{\tau} N : (-).\{M \mapsto_{\sigma} -\}x : 1\{M \mapsto_{\tau} N\}} \textit{update}$$

Computation Terms, II

$$\frac{\Gamma \vdash \tau : \text{Type} \quad \Gamma \vdash M : \tau}{\Gamma \vdash \text{alloc}_\tau M : (-).\{\text{emp}\}x : \text{Nat}\{x \mapsto_\tau M\}} \textit{alloc}$$

$$\frac{\Gamma \vdash \tau : \text{Type} \quad \Gamma \vdash M : \text{Nat}}{\Gamma \vdash \text{dealloc } M : (-).\{M \mapsto_\tau -\}x : 1\{\text{emp}\}} \textit{dealloc}$$

$$\frac{\begin{array}{l} \Gamma \vdash M_1 : (\Delta).\{P \wedge M =_{\text{Nat}} \text{zero}\}x : \tau\{Q\} \quad \Gamma \vdash M : \text{Nat} \\ \Gamma, y : \text{Nat} \vdash M_2 : (\Delta).\{P \wedge M =_{\text{Nat}} \text{succ } y\}x : \tau\{Q\} \end{array}}{\Gamma \vdash \text{case } M \text{ of zero } \Rightarrow M_1 \text{ or succ } y \Rightarrow M_2 : (\Delta).\{P\}x : \tau\{Q\}} \textit{case}$$

$$\frac{\Gamma, f : \Pi^T y : A.(\Delta).\{P\}x : \tau\{Q\}, y : A \vdash M : (\Delta).\{P\}x : \tau\{Q\}}{\Gamma \vdash \text{fix } f(x) \text{ in } M : \Pi^T y : A.(\Delta).\{P\}x : \tau\{Q\}} \textit{fix}$$



Selected Structural Rules

$$\frac{\Gamma \vdash M : (\Delta).\{R\}x : \tau\{S\} \quad \Gamma, \Delta \vdash P \supset R \quad \Gamma, \Delta \vdash S \supset Q}{\Gamma \vdash M : (\Delta).\{P\}x : \tau\{Q\}} \textit{consequent}$$

$$\frac{\Gamma \vdash M : (\Delta).\{P\}x : \tau\{Q\} \quad \Gamma, \Delta \vdash R : \text{Prop}}{\Gamma \vdash M : (\Delta).\{P * R\}x : \tau\{Q * R\}} \textit{frame}$$

